



Decision Technologies and Evaluation

Jack Dowie

Professor of Health Impact Analysis

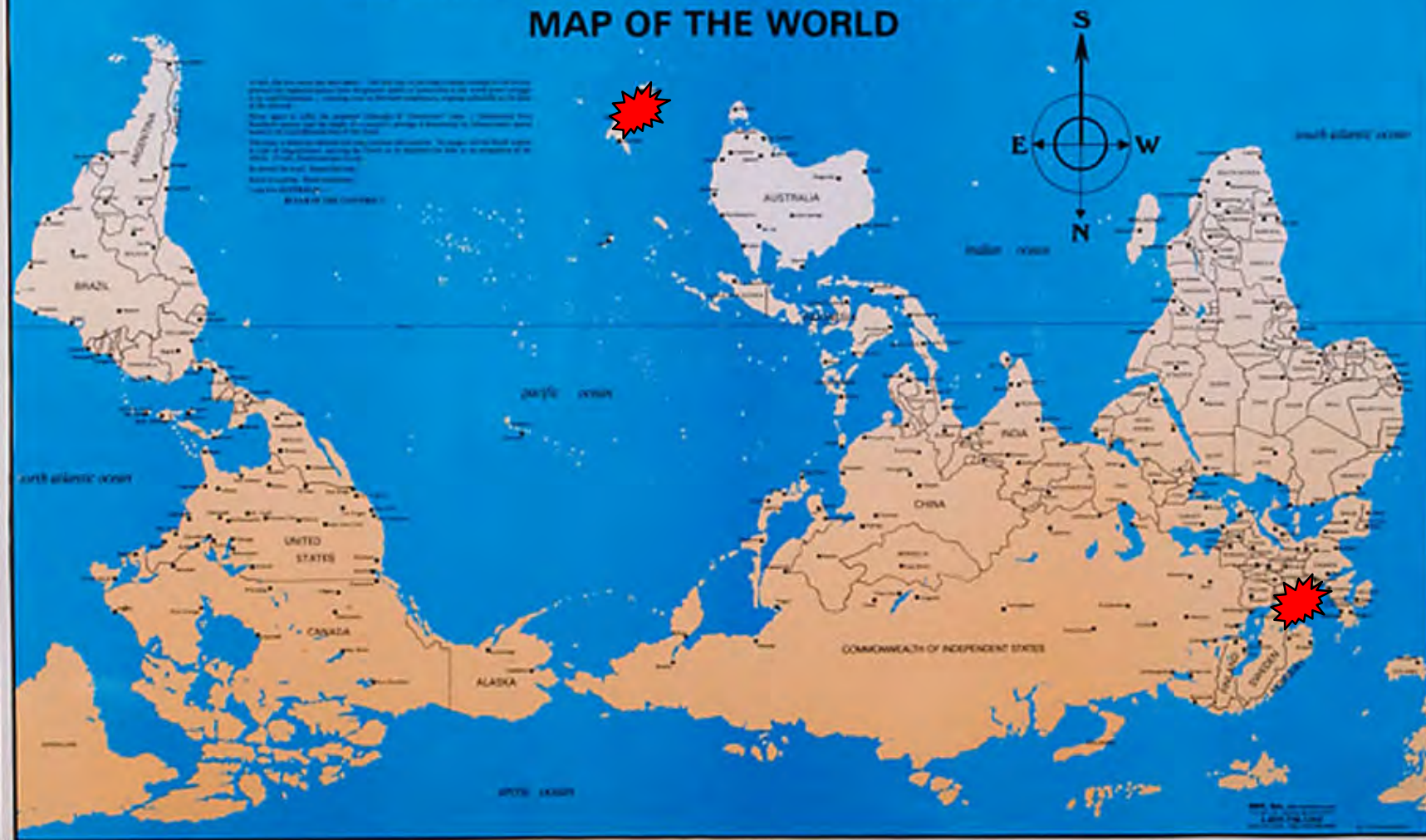
London School of Hygiene and Tropical Medicine

jack.dowie@lshtm.ac.uk

I am not young enough to know everything

Oscar Wilde

McARTHUR'S UNIVERSAL CORRECTIVE MAP OF THE WORLD



lab test p-value guidelines
epidemiology expert judgement
algorithm bayes consensus panel
randomised controlled trial meta analysis systematic review
reflective practice *health impact assessment*
expert opinion health economics decision analysis
ethical code health utility elicitation
evidence-based case control study
exposure-response model cohort study
policy committee moral principle cost effectiveness analysis
health impact analysis QALYS *health impact estimation*
autopsy clinical judgement patient preferences
case conference hippocrates risk assessment

5 key tensions

- Between **Knowledge** Technologies (KTs), **Valuation** Technologies (VTs) and **Decision** Technologies (DTs)
- Between more **analytical** and more **intuitive** modes of inquiry/understanding, valuation, and choice
- Between **coherence**-based and **correspondence**-based approaches to quality assessment
- Between **Bayesian** and **non-Bayesian** approaches to evidence synthesis (*inter alia*)
- Between **absolutist** and **consequentialist** approaches to values and ethics

Where does 'evaluation' fit in?

- Everywhere, depending on who is talking ...and who is listening..
- An 'evaluation' might be
 - a KT for KT purposes
 - a KT for DT purposes
 - a VT for VT purposes
 - a VT for DT purposes
 - a DT for DT purposes
 - all or none of the above

Taxonomy of tasks and technologies

- To produce knowledge, elicit knowledge and evaluate knowledge claims we need a

Knowledge Technology

- To establish what values are held and elicit value judgements we need a

Valuation Technology

- To make decisions (including policies) we need a

Decision Technology

DTs need inputs from KT's and VT's; transfer requires IT's and CT's

- To provide information we need an

Information Technology

- To communicate we need a

Communication Technology

Examples

- KT
 - ‘(clinical) opinion’, ..cohort study, RCT, lab test
- VT
 - ‘(clinical) judgement’, interview..., Standard Gamble, Time Trade-Off utility elicitation exercises
- DT
 - ‘(clinical judgement’), coin toss, meeting,, pro-con checklist, ... Decision Analysis
- IT/CT
 - nudge/wink,, ppt presentation, Report with tables and graphs



A very inefficient KT
- Gary Larson

Copyright 2003 by Randy Glasbergen.
www.glasbergen.com



**“What fits your busy schedule better, exercising
one hour a day or being dead 24 hours a day?”**

A very simple VT
– Randy Glasbergen

3 Risk factors

Black

Male

Baseball cap
backwards

4 Mitigating factors

Loafers

Fed Ex
envelope

Whistling
Sondheim

Polo shirt

A moderately
analytic DT



3 Risk factors

White

Male

Wrong
neighbourhood

4 Mitigating factors

White

Groceries

Humming
Motown

Over 40

— Garry Trudeau

BREAKTHROUGH IN BREAST DRUG TRIAL

SCIENTISTS halted trials of a breast cancer drug - so more women could benefit from it immediately.

And doctors believe the breakthrough may help millions.

A total of 5,200 women from all over the world agreed to test Letrozole.

Half took it and half did not. All were cancer-free when the trial began.

Researchers found the drug cut the risk of the cancer recurring by 43 per cent and of spreading from one breast to another by 46 per cent.

The results were so dramatic the trial was halted less than halfway through so the group not on the drug could start taking it.

Breast cancer sufferers are usually put on drug Tamoxifen after diagnosis but tests show no benefits if it is

By LORRAINE DAVIDSON

taken for more than five years. Letrozole is the first drug aimed at stopping the recurrence of breast cancer - a big concern for former sufferers who have come off Tamoxifen.

Both treatments are for post-menopausal women.

Professor Ian Smith of The Royal Marsden Hospital in London where part of the trial was held said the results had "surpassed expectations".

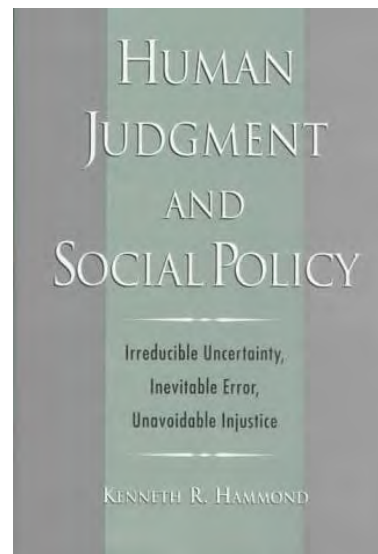
Christine Fogg of Breast Cancer Care said: "The issue of recurrence is a great concern so the findings of this trial are encouraging." Two weeks ago it was revealed drug Anastrozole could also cut breast cancer risk.

KT ? DT

- **TRIAL CUT SHORT AFTER BENEFIT OF BREAST-CANCER DRUG IS PROVED**
- AN INTERNATIONAL trial of a drug for breast cancer has been halted after early results showed it cut by 43 per cent the risk of the cancer returning in women already treated for the disease.
- Professor Ian Smith, head of the breast unit at the Royal Marsden Hospital, London, said: "This is **one of the most important advances in the treatment of postmenopausal women with breast cancer**, and is a further valuable step in preventing disease recurrence."
- But Professor Smith was among British specialists, including Professor Jack Cuzick, at Cancer Research UK, who **criticised the decision to halt the trial early because the long-term effects of letrozole may now never be known.**

Underlying framework of map

- ‘Cognitive Continuum’ framework suggests that any implementation of a KT, VT, DT, IT or CT can be
 - characterised by its **Analysis-to-Intuition ratio** / balance
 - ‘quality-assessed’ by its internal **Coherence** and its external **Correspondence**



Intuition

- rapid, unconscious processing of data
- combined by simple ‘averaging’ principle
- low consistency
- moderate accuracy - low potential for major errors??

Analysis

- slow, conscious processing of data
- combined using more complex principles
- high consistency
- high accuracy - greater potential for major errors?? – but these will be more easily identifiable!

Intuition > Analysis



Analysis > Intuition



1

2

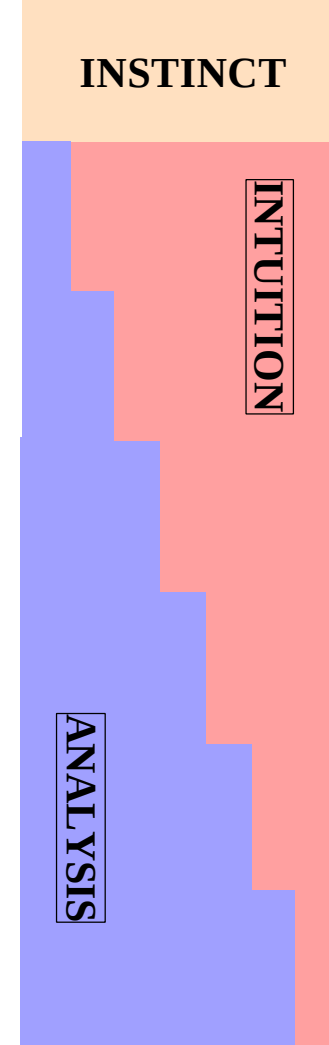
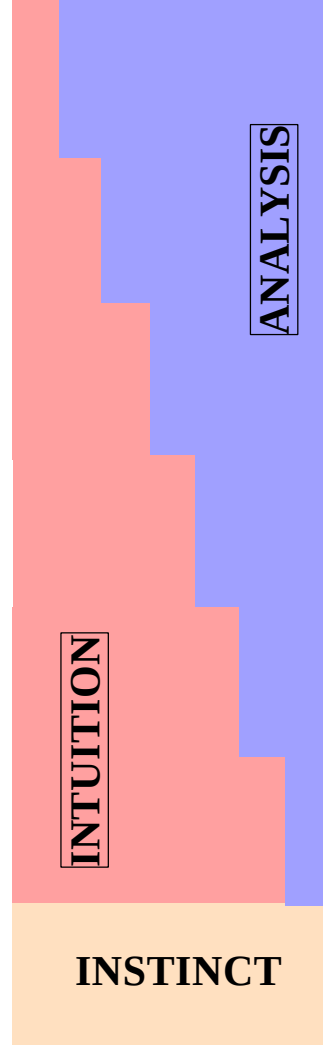
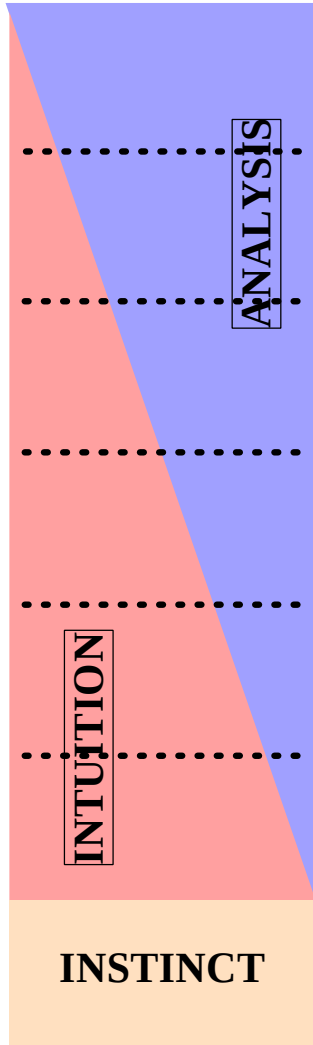
3

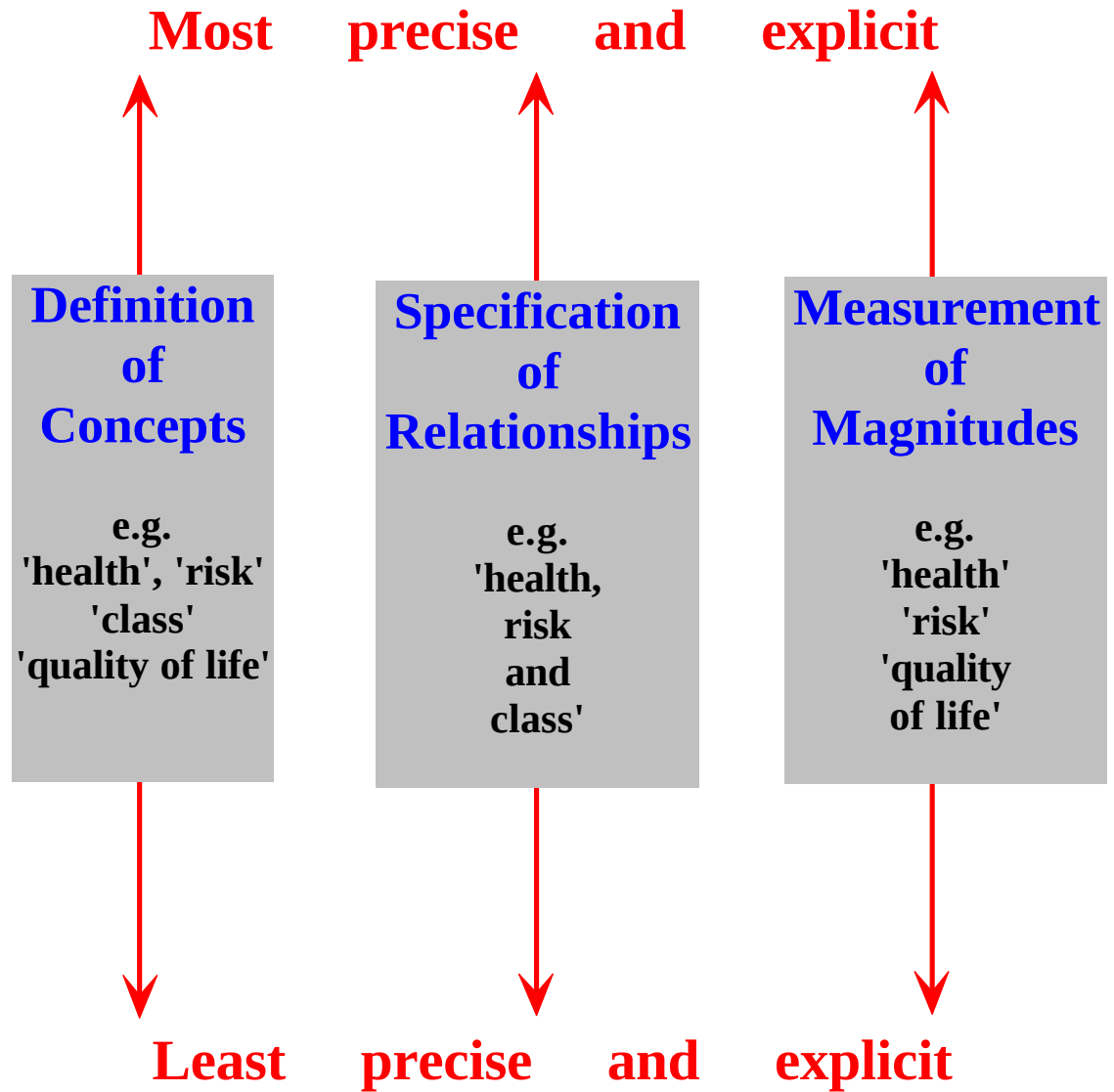
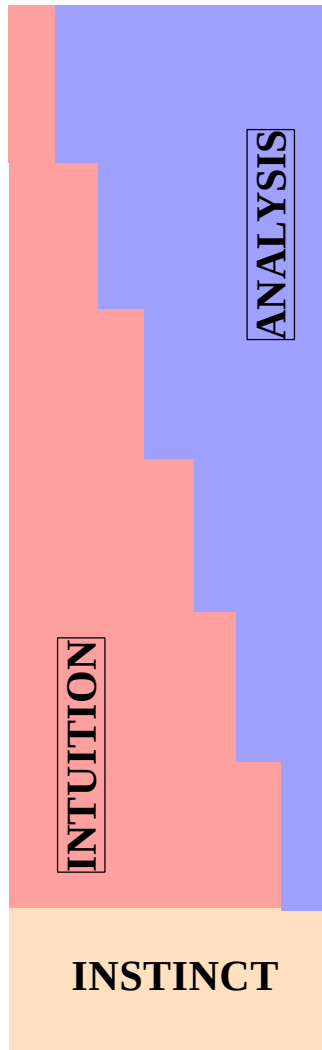
4

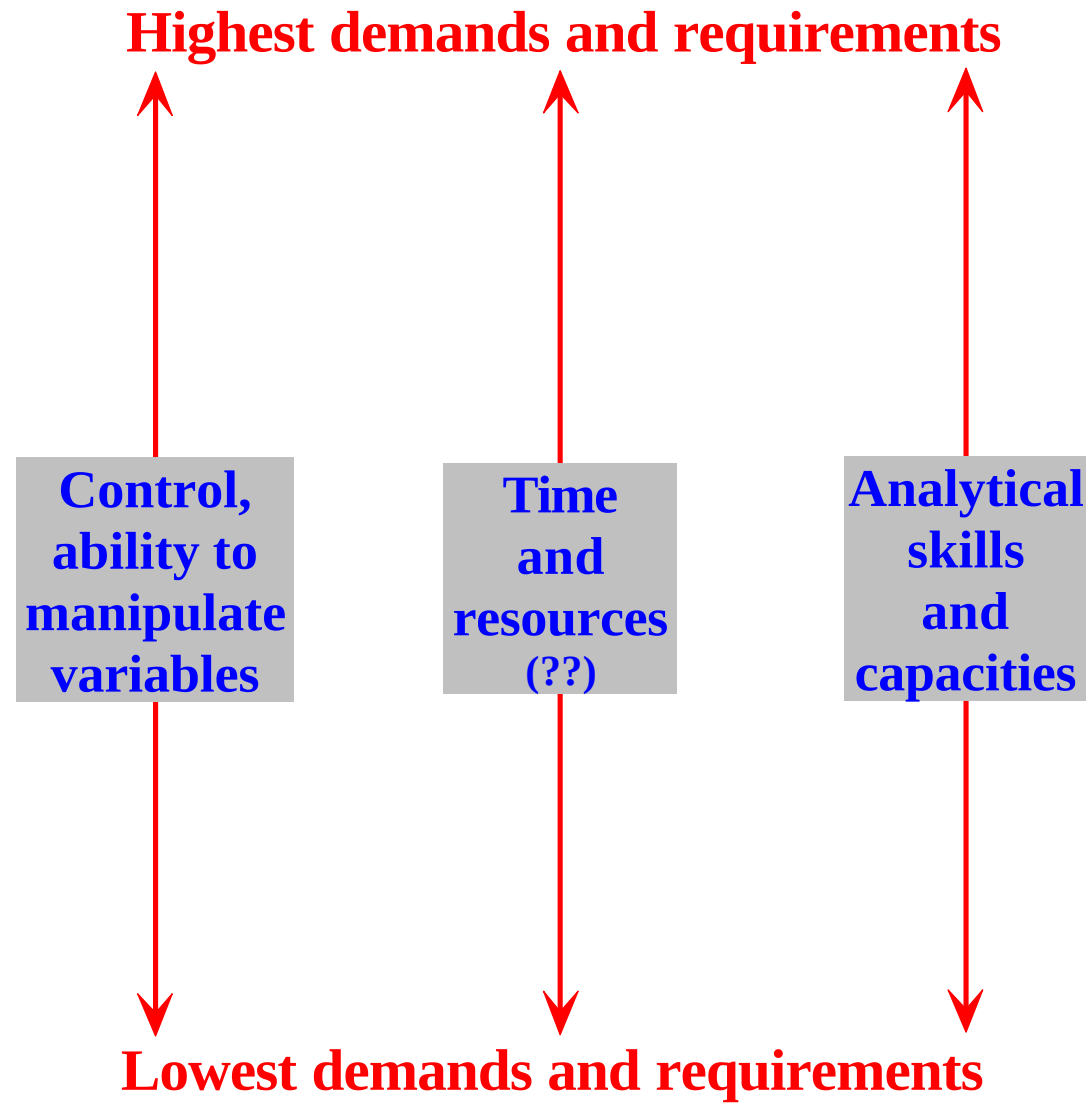
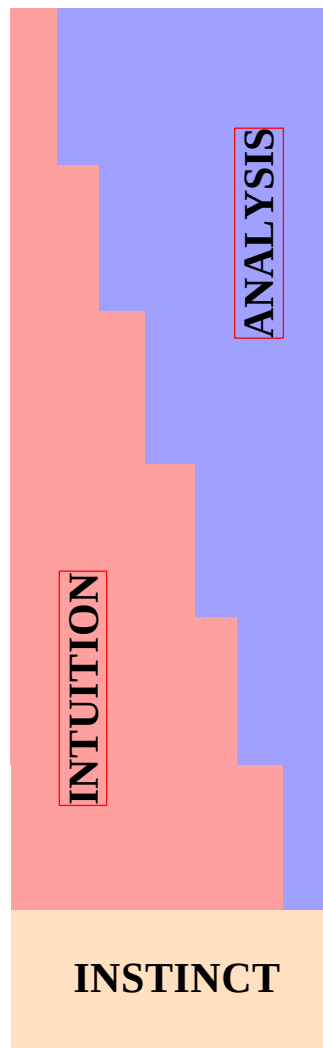
5

6

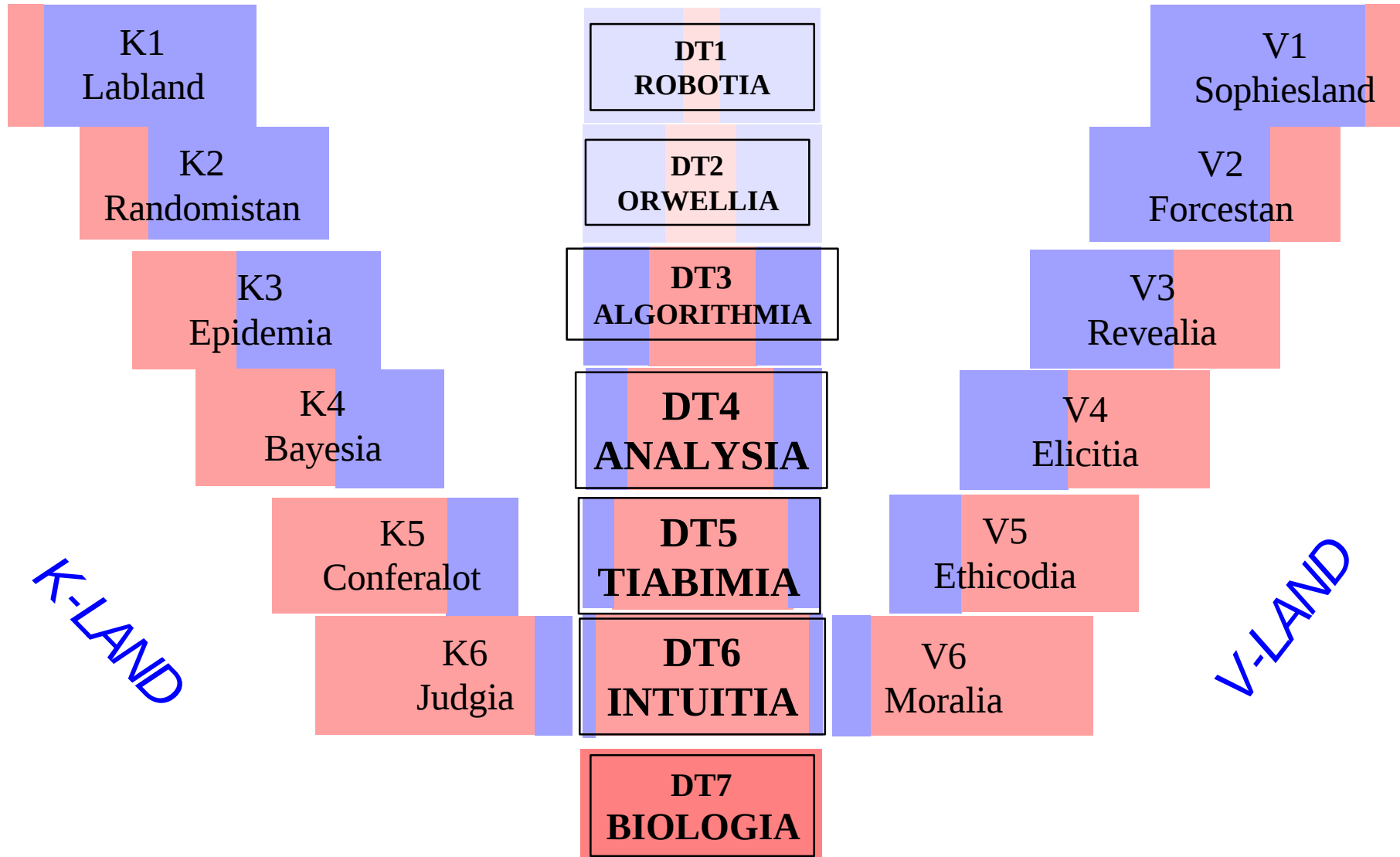
7







D-LAND

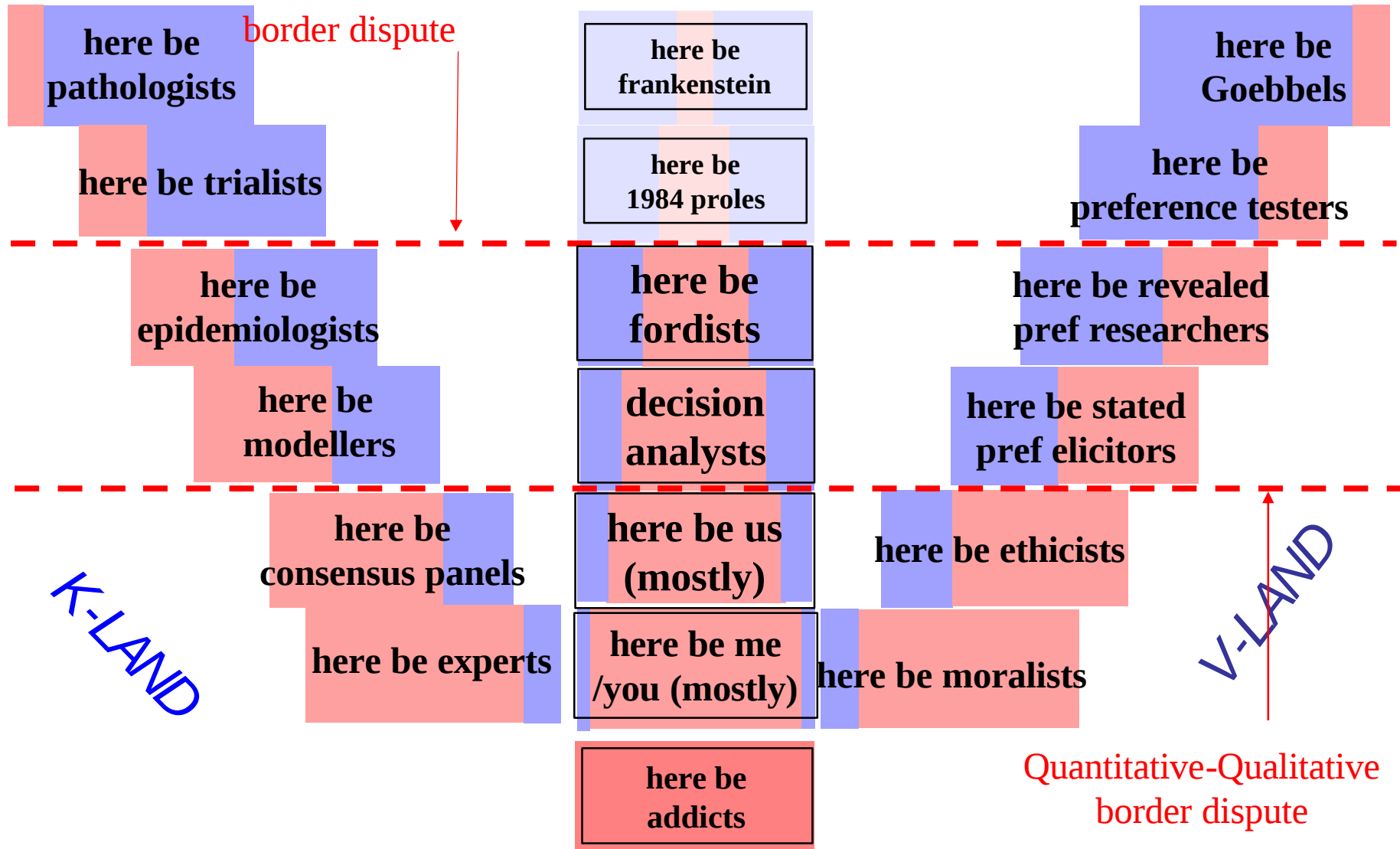


JUDEMAKIA

D-LAND

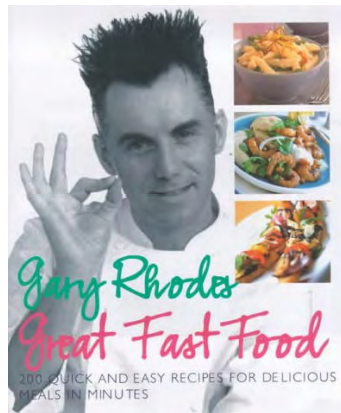
Interventional-Observational

border dispute









The 4 basic Decision Technologies

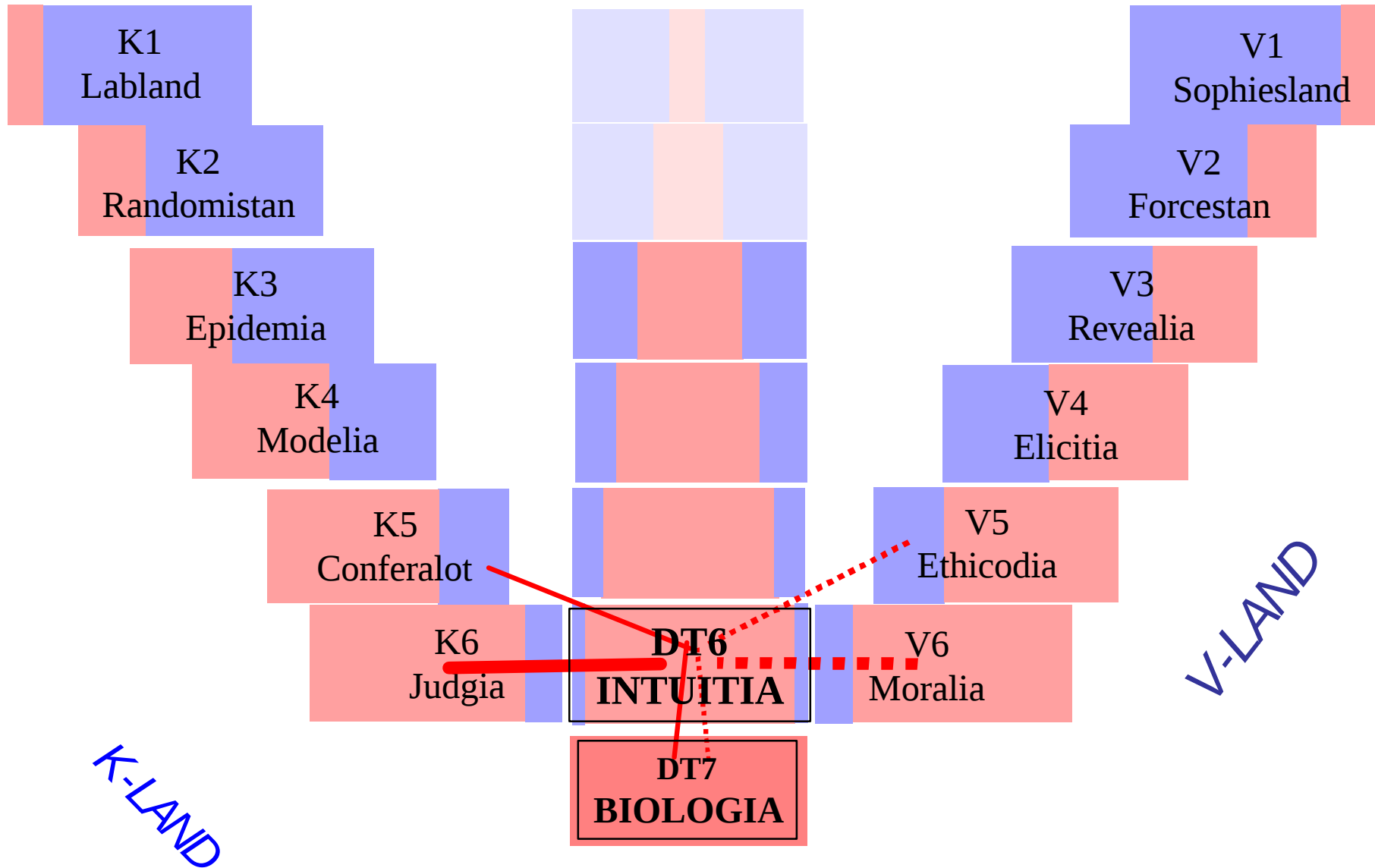
- Biology (is Bayesian)
 - “I was compelled to do it, the emotional drive was so powerful”
 - “I acted instinctively, without thinking”
- Intuition (is Bayesian)
 - “I simply felt I could trust him/her”
 - “After all that experience I recognised the pattern instantly and knew what to do”
- TIABIM - Verbal reasoning (is Bayesian)
 - “We will Take Into Account and Bear in Mind all relevant considerations”
 - “I examined all the pros and cons in a balanced way”
- Decision Analysis (is Bayesian)



Instinct the only legitimate DT!

"So long as you only do what you honestly and instinctively believe is necessary in the heat of the moment, that would be the strongest evidence of you acting lawfully and in self-defence."

D-LAND



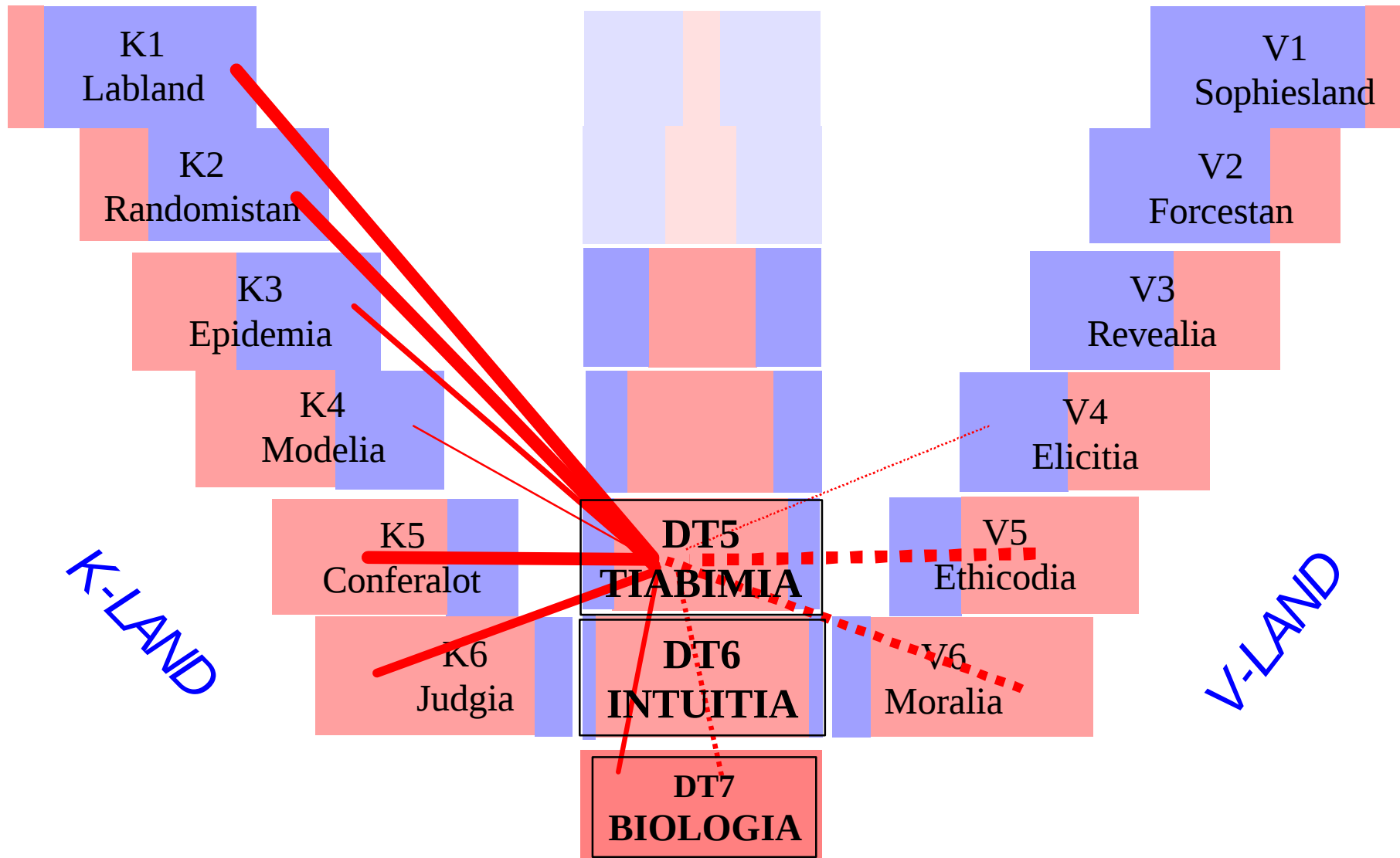
Intuitive judgement as a DT/KT/VT

- Expertise
- Experience
- ‘Tacit knowledge’ (Michael Polanyi)
- ‘Reflective practice’
 - Donald Schön
 - Patricia Benner
- Evaluating ‘intuition’ – the vast problems
 - E.g. ‘the waiter problem’ (‘treatment effect’)
[OILS = Outcome Irrelevant Learning Situations]

‘Taking Into Account and Bearing In Mind’

- ‘Taking things into *account*’
 - ‘Giving considerations due *weight*’
 - ‘Establishing the right *balance*’
 - ‘Keeping things in *proportion*’
 - ‘Taking a *measured* view’
 - ‘Bringing everything into the *equation*’
 - ‘*Figuring* it out’
 - ‘Seeking a *degree* of consensus’
 - ‘*Gauging* the impact’
 - ‘Making sure things *add up*’
- but TIABIM DT is basically *qualitative* discourses

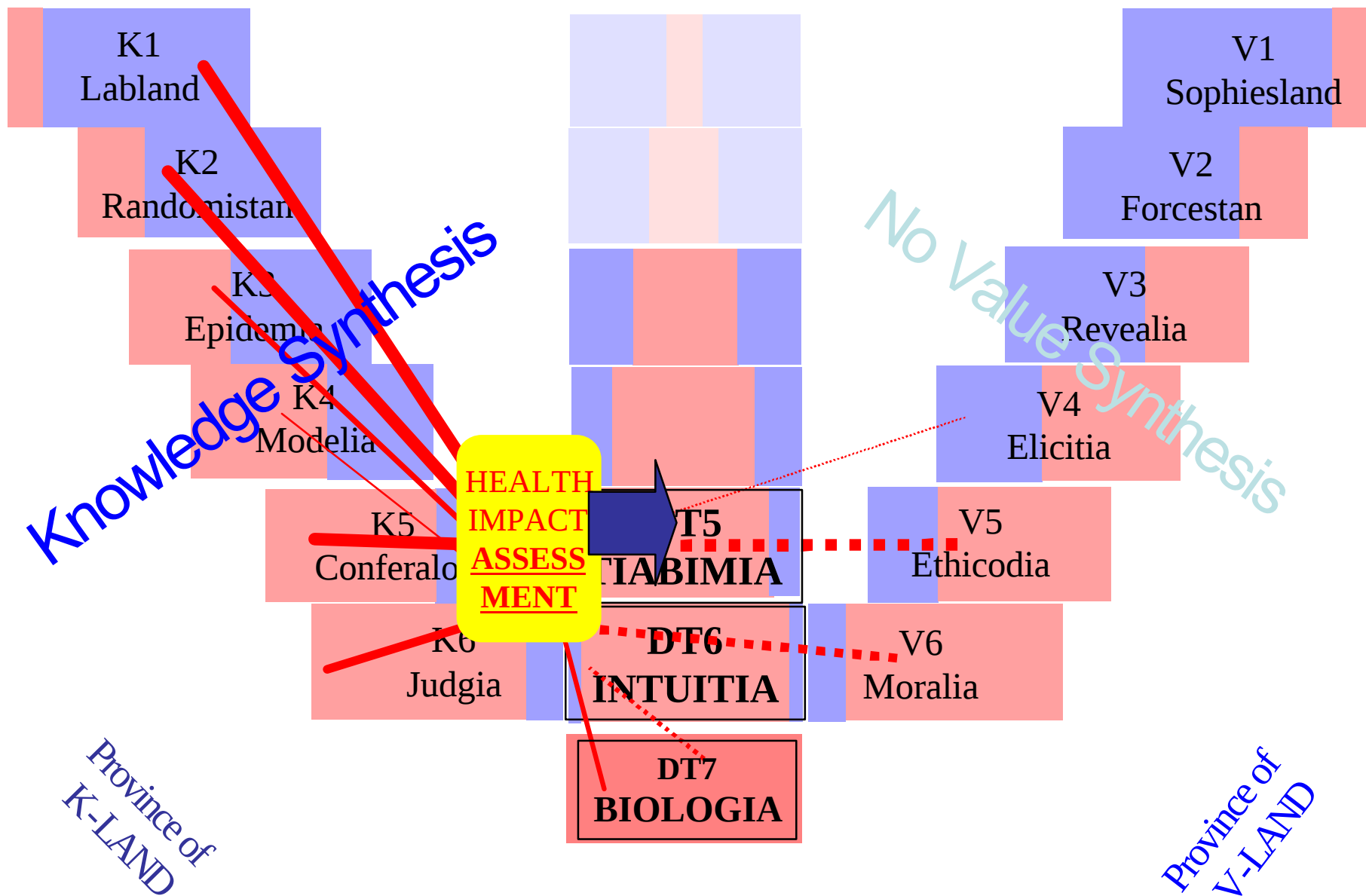
D-LAND



TIABIM – the verdicts

- TIABIM is fine, no problems, flaws
- TIABIM is not perfect, it does produce lots of bad/poor decisions, but this is because
 - the wrong people with the wrong values dominate – bring in the true/right ‘stakeholders’ and it will be fine
 - we have the right people with the right values, but they lack knowledge/information/evidence – supply them with better k/i/e and it will be fine
 - we have the right people but our TIABIM processes need improvement
 - we have the right people but many currently lack the relevant TIABIM skills - build their capacity in these and it will be fine





Republic of D-LAND

Why is TIABIM (and HIAss) so popular?

- TIABIM doesn't require clear and explicit separation of knowledge and values
- TIABIM doesn't require explicit and precise statement of anyone's knowledge/beliefs (in form of probabilities)
- TIABIM doesn't require clear explicit and precise statement of anyone's values (as quantified preferences)

E-BPH and HIAss?

- ‘Evidence-Based Public Health’
- ‘Health Impact Assessment’
- Both, in their different ways, are attempts to address the acknowledged weaknesses of Bayesian Decision **Intuition** (known as ‘clinical judgement’ and ‘public health judgement’ respectively)
- But without moving to Bayesian Decision **Analysis** or accepting consciously that **decision making is necessarily Bayesian**

There is no alternative to Bayes

- There is no such thing as a *non-Bayesian* approach to **decision making**, whether it is about health, health inequalities or anything else.
- If one is deciding under uncertainty – and genuine decisions are always made under uncertainty - one is, *at the moment of decision*, being a Bayesian in the key sense of that term. ... assessing the probabilities of *unique* events or states (not their long run frequency under alternative hypotheses as in mainstream statistics)
- if one is making decisions (not inferences) then one must be assessing the probabilities of *unique* events or states and the only alternatives are some form of Bayesian Decision Intuition (BDI) or Bayesian Decision Analysis (BDA), not some non-existent, non-Bayesian alternative

Why are non-Bayesians?

- Why are non-Bayesians not prepared to produce and offer the probabilities needed by decision makers?
- Refusal means that decision makers are required to
 - perform covert and/or unwitting transformation of what they are offered into what they need (when they engage in BDI), or
 - required to carry out explicit and open transformational work (when they engage in BDA)?
- Two aspects to what is, at root, a single reason
 - clashing concepts of what a probability is
 - the use of prior beliefs in assessing the significance of a new piece of evidence

The nature of probability

- For Bayesians (in the words of Bruno de Finetti) there is no such *thing* as probability. Probabilities are properties of individual minds – a probability for something is simply an individual's uncertainty about it quantified as a degree of belief between 0 and 1.0.
- Probabilities are not properties of the external world, physical, biological or social. They are not the long run frequencies of events as the non-Bayesian 'frequentist' would have it. Accordingly a Bayesian will (if not being lazy or over-polite) always talk of '*my/his/her* probability *for*' an event happening (it raining tomorrow) or a state arising (me getting wet) never '*the* probability *of*' it.
- Frequencies undoubtedly can be discerned in, or imposed on the real world, and most Bayesians are committed to frequencies as a very useful basis for arriving at their degrees of belief. But they point out that in order to calculate a particular frequency one must *construct*, via 'subjective judgments', the observations to include in the frequency calculations (both numerator and denominator).

Prior beliefs and Bayes Theorem

- Bayes theorem states that the **P**robability of **A**buse **given** **B**ruises (what we usually want to know for decision making purposes) is equal to the **P**robability of **B**ruises **given** **A**buse multiplied by the **P**robability of **A**buse, the result divided by the **P**robability of **B**ruises.
- Extracting the emboldened capitals and using a vertical bar for ‘given’ gives us the standard simple form of Bayes theorem:
- $P(A|B) = [P(B|A) \times P(A)] / P(B)$
- Our probability for an event/hypothesis (Abuse) after we acquire a new piece of evidence (Bruises) is correctly calculated by multiplying our probability for it before we received the new evidence by the probability of receiving this evidence given that our hypothesis is true and dividing the result by the probability of receiving this evidence irrespective of whether our hypothesis is true or false.

Q

- A person has just tested positive for a condition (Cancer) which has a prevalence of 1% in the community.
- The test is a good one in the sense that it has a Sensitivity (True Positive Rate) of 90% and a Specificity (True Negative Rate) of 80%.
- What is the chance that the patient has Cancer?

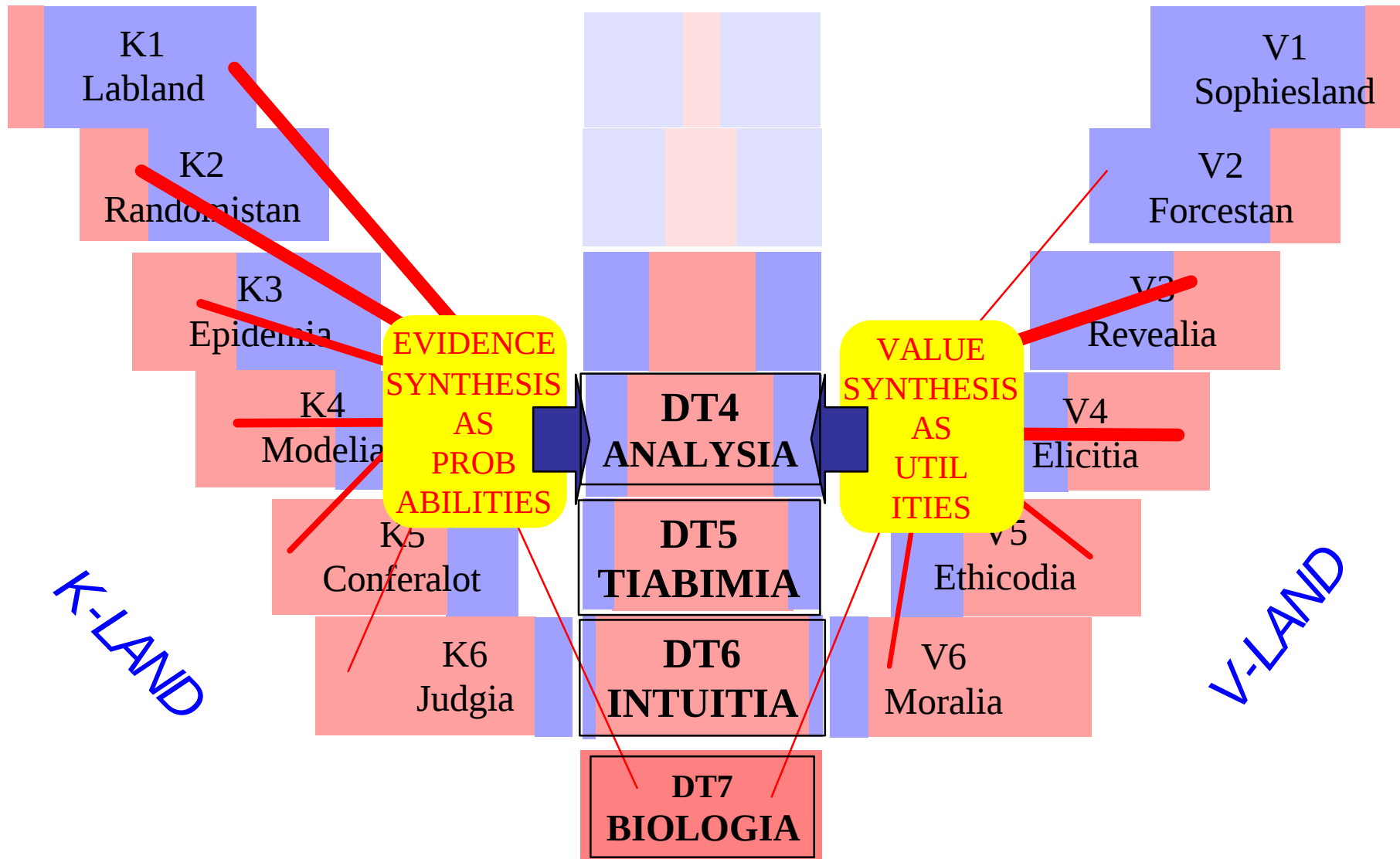
A

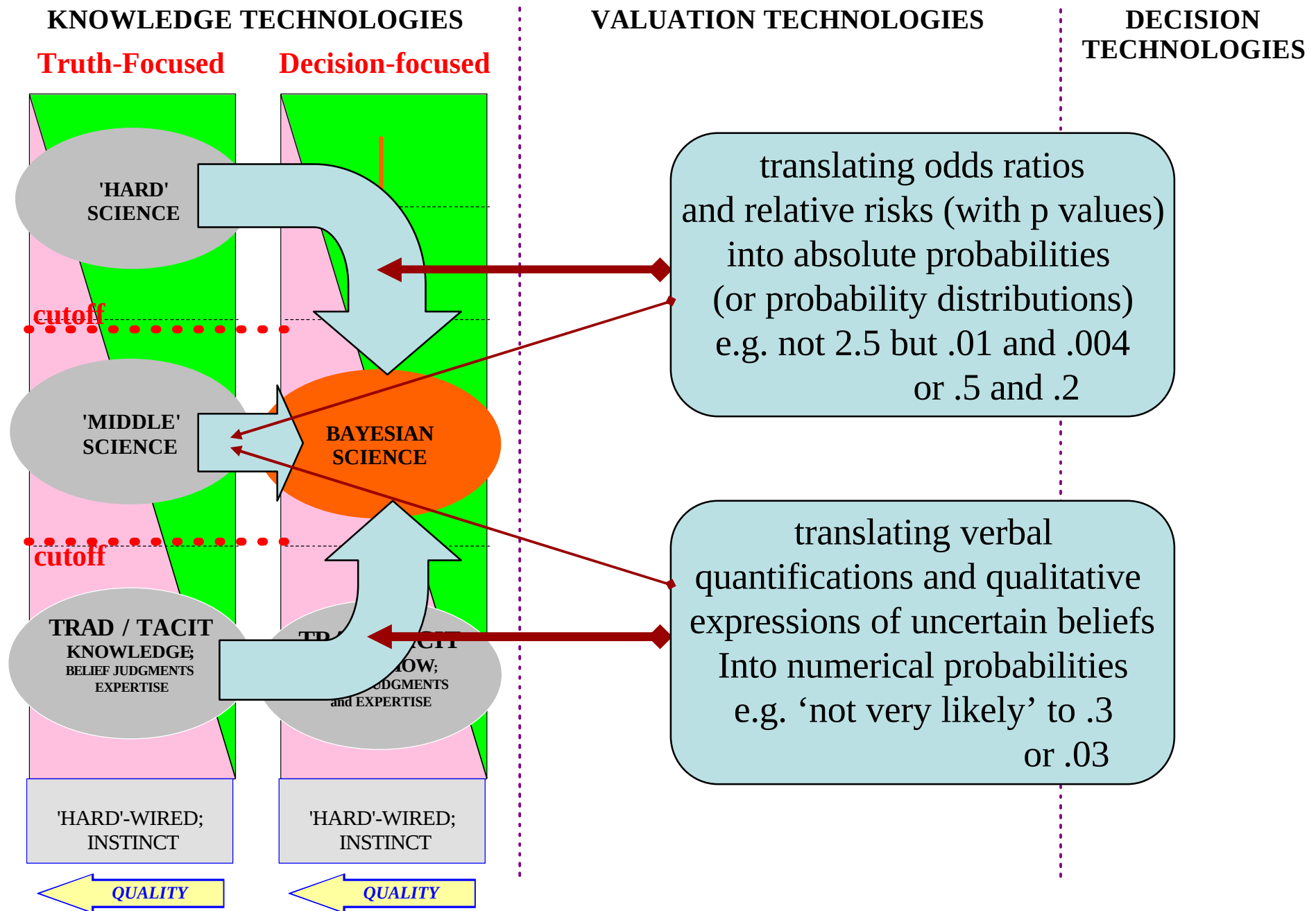
- The intuitive responses (over a wide range of audiences and countries) cluster in the 80-90% range, reflecting the TPR and TNR.
- The correct answer is 4.3%.
- The 20% False Positive Rate ($1 - \text{Specificity}$) applies to the 99% of the population who do not have Cancer and results in 198 False Positives compared with only 9 True ones.
- Of the 207 total positives only 9, or 4.3%, will be TP and this is therefore the Predictive Value of a positive test result

The irrelevance of inference

- Most Decision Analysts would see little point in being Bayesian if they were not Decision Analysts, while fully accepting that in order to be Decision Analysts they must be Bayesians.
- The key difference is not between Bayesian and non-Bayesian approaches to *statistical inference* but between the Decision Analytic and conventional approaches to *decision making*; conventional approaches that, whatever their surface appearance, can all be characterised as some form of **BDI (either simple Intuition or TIABIM)** .
- The statistical conflict is only important because it is a major contributor to the quality problems of BDI, largely through the cognitive burden it imposes – or should impose - on decision makers as they struggle with the impossible task of transforming the decision-irrelevant format of scientific output into what they need.
- A cognitive burden typically reduced by the use of inappropriate heuristics and unwitting misinterpretations.

D-LAND





KNOWLEDGE TECHNOLOGIES

translating profile measures
into generic index preferences
(utility distributions)
e.g. SF-36 into SF-6D

translating qualitative
value judgments (e.g. 'much
better') and unresolved
moral conflicts
into numerical preferences

VALUATION TECHNOLOGIES

Truth-Focused

Decision-focused

VALUATION
EXPERIMENTS

VALUATION
STUDIES

TRADITIONAL
/ TACIT PRINCIPLES;
VALUE JUDGMENTS;
MORALS

'HARD'-WIRED;
INSTINCT

QUALITY

PREFERENCE
ELICITATION

VALUES
PROCESSING

'HARD'-WIRED;
INSTINCT

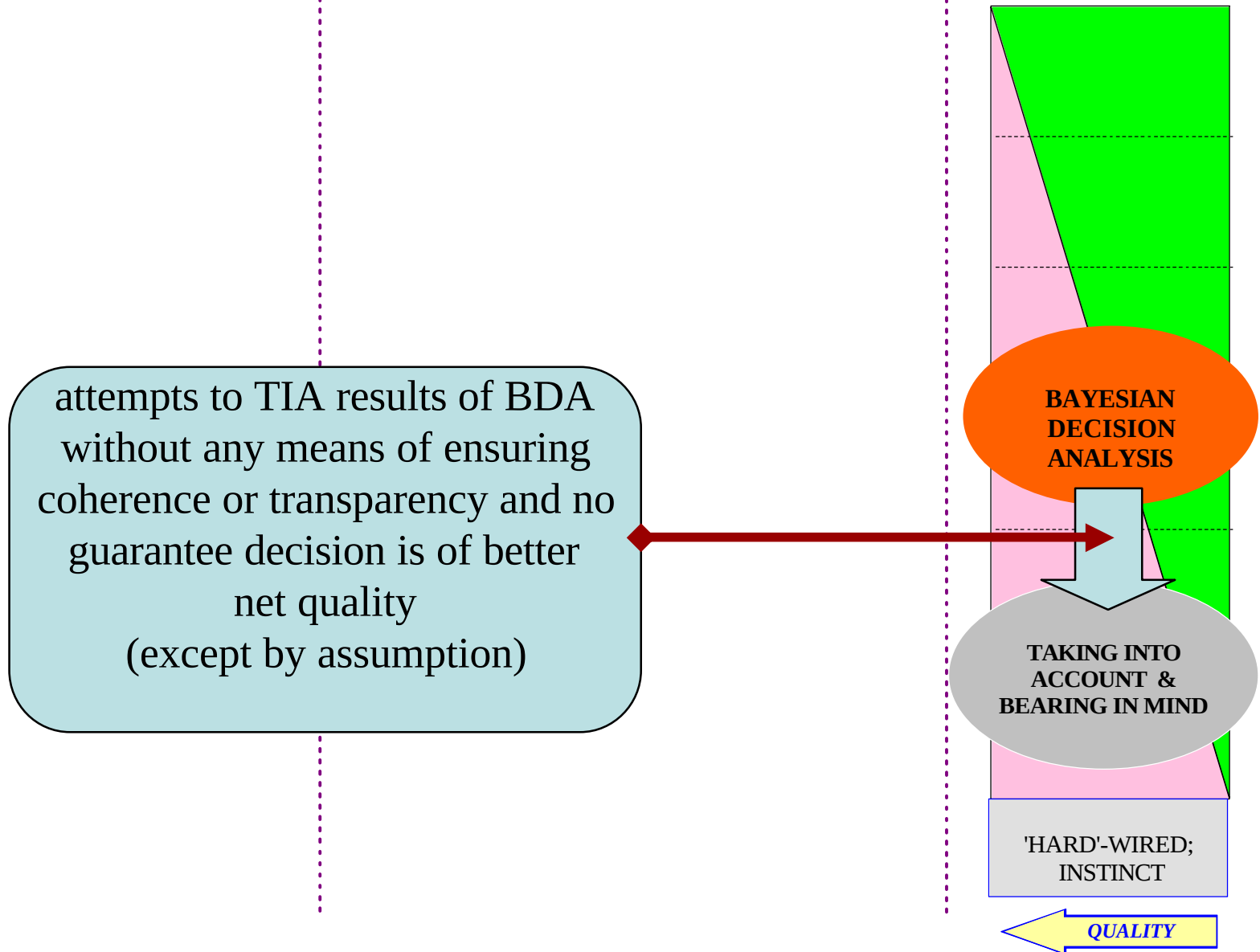
QUALITY

DECISION TECHNOLOGIES

KNOWLEDGE TECHNOLOGIES

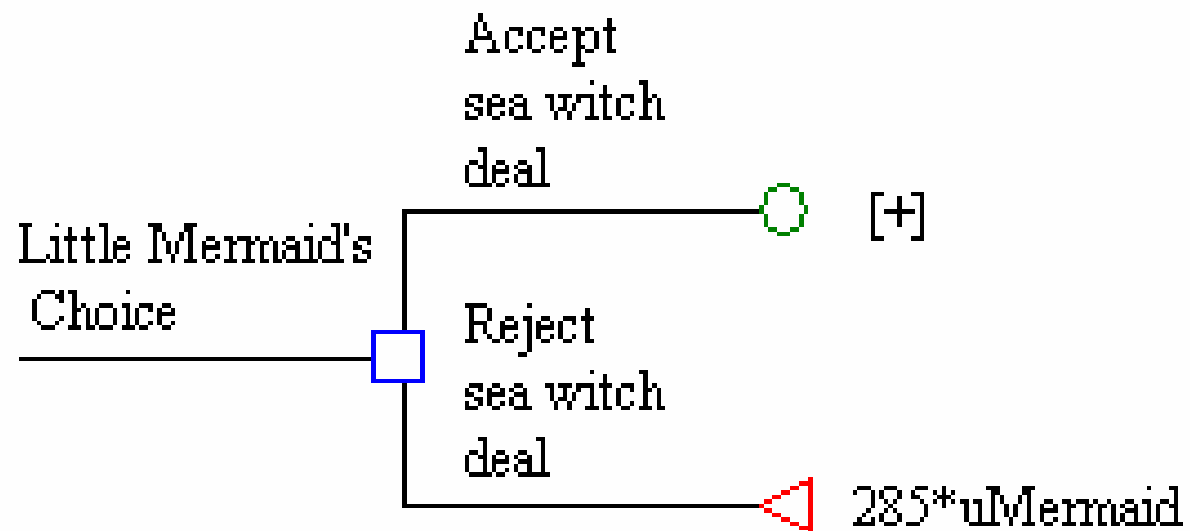
VALUATION TECHNOLOGIES

DECISION TECHNOLOGIES



Den Lille Havfrues Beslutning
The Little Mermaid's Decision

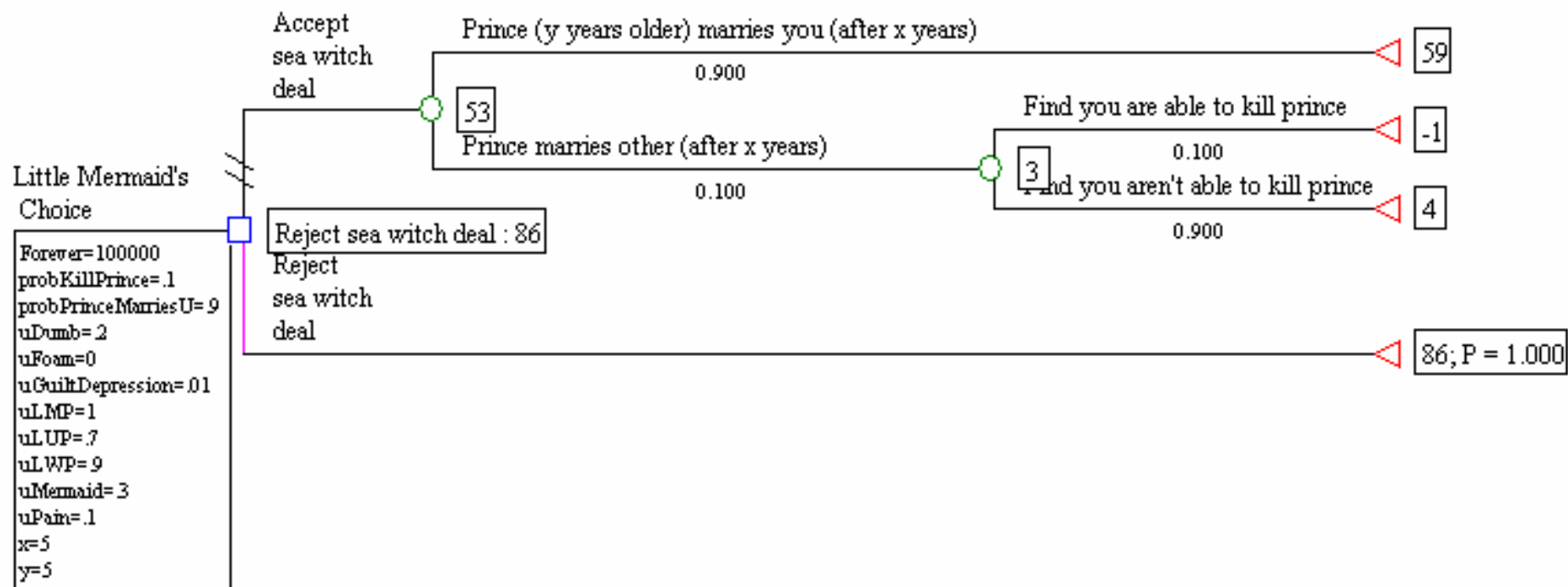
Acceptere
Havheksens
handel



Afslå
Havheksens
handel

Quality of Life in various states

State	QOL before deductions	QOL after deductions
Living Married to Prince	1	.7
Living as Widow of Prince	.9	.6
Living in castle Unmarried to Prince	.7	.4
Mermaid (no deductions)	.3	.3
Mermaid (after killing prince)		.25
Foam	0	
QOL Deductions for:		
Loss of voice	.2	
Pain on every movement	.1	
Guilt/depression from murder	.05	



The inappropriateness of ‘scientific’ cut-offs

- Decisions always requires integration of **values** and **uncertainties**
- Policy making should always be seen (and referred to) as a ‘*value-based and science-informed* activity’, since it can never be a ‘*science-based* activity’
- Absence of high / ‘acceptable’ quality evidence (by *scientific* criteria appropriate for a truth-focused KT) doesn’t mean that decisions can be postponed and resort to evidence of lower / ‘unacceptable’ quality avoided (the only question is whether resort is made are implicit or transparently and explicitly)
- Setting data quality cut-offs in accordance with the evaluation criteria appropriate in science (typical in Evidence-based Practice checklists – and NICE) is therefore inappropriate for policy/decision making, where the Best available evidence has to be used.

