

# Towards an Algebraic Network Information Theory

Bobak Nazer (BU)

Joint work with Sung Hoon Lim (EPFL), Chen Feng (UBC),  
Adriano Pastore (EPFL), and Michael Gastpar (EPFL).

York Number Theory + Wireless Workshop  
July 6, 2016

## *Network Information Theory*

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...
- Rate regions described in terms of (single-letter) information measures optimized over pmfs.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...
- Rate regions described in terms of (single-letter) information measures optimized over pmfs.
- Many important successes: multiple-access channels, (degraded) broadcast channels, Slepian-Wolf compression, network coding, and many more...

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...
- Rate regions described in terms of (single-letter) information measures optimized over pmfs.
- Many important successes: multiple-access channels, (degraded) broadcast channels, Slepian-Wolf compression, network coding, and many more...
- Guided the development and optimization of modern communication networks.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...
- Rate regions described in terms of (single-letter) information measures optimized over pmfs.
- Many important successes: multiple-access channels, (degraded) broadcast channels, Slepian-Wolf compression, network coding, and many more...
- Guided the development and optimization of modern communication networks.
- State-of-the-art elegantly captured in the recent textbook of **El Gamal and Kim**.

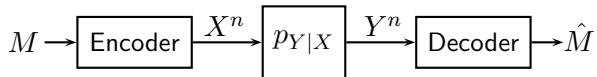


**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

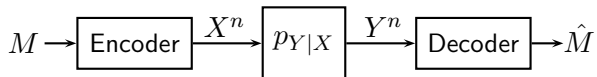
### Classical Approach:

- Use average performance of **random i.i.d. codebooks** to argue good codebooks exist.
- Powerful generalizations including superposition coding, dirty paper coding, block Markov coding, and many more...
- Rate regions described in terms of (single-letter) information measures optimized over pmfs.
- Many important successes: multiple-access channels, (degraded) broadcast channels, Slepian-Wolf compression, network coding, and many more...
- Guided the development and optimization of modern communication networks.
- State-of-the-art elegantly captured in the recent textbook of **El Gamal and Kim**.
- Codes with **algebraic structure** are sought after to mimic the performance of **random i.i.d. codes** with low implementation complexity.

## Point-to-Point Channels

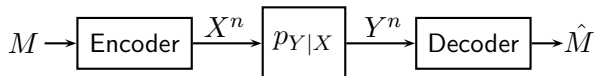


## Point-to-Point Channels



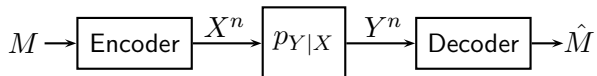
- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$

## Point-to-Point Channels



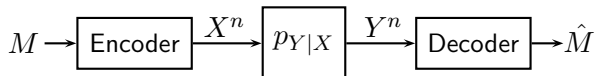
- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$
- Encoder: a mapping  $x^n(m) \in \mathcal{X}^n$  for each  $m \in [2^{nR}]$

## Point-to-Point Channels



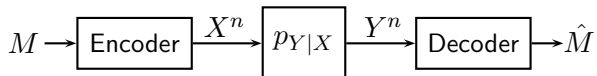
- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$
- Encoder: a mapping  $x^n(m) \in \mathcal{X}^n$  for each  $m \in [2^{nR}]$
- Memoryless Channel:  $p_{Y^n|X^n}(y^n|x^n) = \prod_{i=1}^n p_{Y|X}(y_i|x_i)$

## Point-to-Point Channels



- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$
- Encoder: a mapping  $x^n(m) \in \mathcal{X}^n$  for each  $m \in [2^{nR}]$
- Memoryless Channel:  $p_{Y^n|X^n}(y^n|x^n) = \prod_{i=1}^n p_{Y|X}(y_i|x_i)$
- Decoder: a mapping  $\hat{m}(y^n) \in [2^{nR}]$  for each  $y^n \in \mathcal{Y}^n$

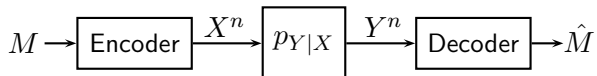
## Point-to-Point Channels



- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$
- Encoder: a mapping  $x^n(m) \in \mathcal{X}^n$  for each  $m \in [2^{nR}]$
- Memoryless Channel:  $p_{Y^n|X^n}(y^n|x^n) = \prod_{i=1}^n p_{Y|X}(y_i|x_i)$
- Decoder: a mapping  $\hat{m}(y^n) \in [2^{nR}]$  for each  $y^n \in \mathcal{Y}^n$

### Theorem (Shannon '48)

$$C = \max_{p_X(x)} I(X; Y)$$



- Messages:  $m \in [2^{nR}] \triangleq \{0, \dots, 2^{nR} - 1\}$
- Encoder: a mapping  $x^n(m) \in \mathcal{X}^n$  for each  $m \in [2^{nR}]$
- Memoryless Channel:  $p_{Y^n|X^n}(y^n|x^n) = \prod_{i=1}^n p_{Y|X}(y_i|x_i)$
- Decoder: a mapping  $\hat{m}(y^n) \in [2^{nR}]$  for each  $y^n \in \mathcal{Y}^n$

### Theorem (Shannon '48)

$$C = \max_{p_X(x)} I(X; Y)$$

- Proof relies on **random i.i.d. codebooks** combined with **joint typicality decoding**.



## Typicality

- $x^n$  is a length- $n$  sequence with elements from finite alphabet  $\mathcal{X}$
- The **empirical pmf** (i.e., type) of  $x^n$  is

$$\pi(x|x^n) = \frac{1}{n} |\{i : x_i = x\}| \quad x \in \mathcal{X}$$

## Typicality

- $x^n$  is a length- $n$  sequence with elements from finite alphabet  $\mathcal{X}$
- The **empirical pmf** (i.e., type) of  $x^n$  is

$$\pi(x|x^n) = \frac{1}{n} |\{i : x_i = x\}| \quad x \in \mathcal{X}$$

- If  $X^n$  is i.i.d. according to  $p_X(x)$ , then, by the weak law of large numbers,  $\pi(x|x^n)$  converges to  $p_X(x)$  in probability.

## Typicality

- $x^n$  is a length- $n$  sequence with elements from finite alphabet  $\mathcal{X}$
- The **empirical pmf** (i.e., type) of  $x^n$  is

$$\pi(x|x^n) = \frac{1}{n} |\{i : x_i = x\}| \quad x \in \mathcal{X}$$

- If  $X^n$  is i.i.d. according to  $p_X(x)$ , then, by the weak law of large numbers,  $\pi(x|x^n)$  converges to  $p_X(x)$  in probability.
- This motivates the **typical set**

$$\mathcal{T}_\epsilon^{(n)}(X) = \{x^n : |\pi(x|x^n) - p_X(x)| \leq \epsilon p_X(x) \text{ for all } x \in \mathcal{X}\}$$

which satisfies  $\lim_{n \rightarrow \infty} \mathbb{P}(X^n \in \mathcal{T}_\epsilon^{(n)}) = 1$ .

## Typicality

- $x^n$  is a length- $n$  sequence with elements from finite alphabet  $\mathcal{X}$
- The **empirical pmf** (i.e., type) of  $x^n$  is

$$\pi(x|x^n) = \frac{1}{n} |\{i : x_i = x\}| \quad x \in \mathcal{X}$$

- If  $X^n$  is i.i.d. according to  $p_X(x)$ , then, by the weak law of large numbers,  $\pi(x|x^n)$  converges to  $p_X(x)$  in probability.
- This motivates the **typical set**

$$\mathcal{T}_\epsilon^{(n)}(X) = \{x^n : |\pi(x|x^n) - p_X(x)| \leq \epsilon p_X(x) \text{ for all } x \in \mathcal{X}\}$$

which satisfies  $\lim_{n \rightarrow \infty} \mathbb{P}(X^n \in \mathcal{T}_\epsilon^{(n)}) = 1$ .

- We can generalize this definition to pairs of sequences  $(X^n, Y^n)$  that are i.i.d. according to  $p_{XY}(x, y)$  and so on...

## *Joint Typicality Lemma*

- **Joint typicality** is a powerful framework due to the availability of several key lemmas including

## Joint Typicality Lemma

- **Joint typicality** is a powerful framework due to the availability of several key lemmas including

### Joint Typicality Lemma

Select  $p_{XY}(x, y)$  and  $0 < \epsilon' < \epsilon$ . Then, there exists  $\delta(\epsilon)$  that tends to 0 as  $\epsilon \rightarrow 0$  such that

## Joint Typicality Lemma

- **Joint typicality** is a powerful framework due to the availability of several key lemmas including

### Joint Typicality Lemma

Select  $p_{XY}(x, y)$  and  $0 < \epsilon' < \epsilon$ . Then, there exists  $\delta(\epsilon)$  that tends to 0 as  $\epsilon \rightarrow 0$  such that

- For any  $\tilde{y}^n \in \mathcal{Y}^n$  and  $\tilde{X}^n$  i.i.d.  $p_X(\tilde{x})$ ,

$$\mathbb{P}\{(\tilde{X}^n, \tilde{y}^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\} \leq 2^{-n(I(X;Y) - \delta(\epsilon))}$$

## Joint Typicality Lemma

- **Joint typicality** is a powerful framework due to the availability of several key lemmas including

### Joint Typicality Lemma

Select  $p_{XY}(x, y)$  and  $0 < \epsilon' < \epsilon$ . Then, there exists  $\delta(\epsilon)$  that tends to 0 as  $\epsilon \rightarrow 0$  such that

- For any  $\tilde{y}^n \in \mathcal{Y}^n$  and  $\tilde{X}^n$  i.i.d.  $p_X(\tilde{x})$ ,

$$P\{(\tilde{X}^n, \tilde{y}^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\} \leq 2^{-n(I(X;Y) - \delta(\epsilon))}$$

- For any  $y^n \in \mathcal{T}_{\epsilon'}^{(n)}(Y)$  and  $\tilde{X}^n$  i.i.d.  $p_X(\tilde{x})$ ,

$$P\{(\tilde{X}^n, y^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\} \geq 2^{-n(I(X;Y) + \delta(\epsilon))} .$$



## Joint Typicality Lemma

- **Joint typicality** is a powerful framework due to the availability of several key lemmas including

### Joint Typicality Lemma

Select  $p_{XY}(x, y)$  and  $0 < \epsilon' < \epsilon$ . Then, there exists  $\delta(\epsilon)$  that tends to 0 as  $\epsilon \rightarrow 0$  such that

- For any  $\tilde{y}^n \in \mathcal{Y}^n$  and  $\tilde{X}^n$  i.i.d.  $p_X(\tilde{x})$ ,

$$P\{(\tilde{X}^n, \tilde{y}^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\} \leq 2^{-n(I(X;Y) - \delta(\epsilon))}$$

- For any  $y^n \in \mathcal{T}_{\epsilon'}^{(n)}(Y)$  and  $\tilde{X}^n$  i.i.d.  $p_X(\tilde{x})$ ,

$$P\{(\tilde{X}^n, y^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\} \geq 2^{-n(I(X;Y) + \delta(\epsilon))} .$$

Intuition: Probability that i.i.d.  $\tilde{X}^n$  looks **jointly typical**  $\approx 2^{-nI(X;Y)}$

## Point-to-Point Capacity: Achievability Proof

- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .

## Point-to-Point Capacity: Achievability Proof

- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .
- **Encoding:** For message  $m \in [2^{nR}]$ , send codeword  $X^n(m)$ .

## Point-to-Point Capacity: Achievability Proof

- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .
- **Encoding:** For message  $m \in [2^{nR}]$ , send codeword  $X^n(m)$ .
- **Decoding:** Search for  $\hat{m}$  such that  $(X^n(\hat{m}), Y^n)$  is **jointly typical**. If only one such  $\hat{m}$ , output it as the message estimate. Otherwise, declare an error.

## Point-to-Point Capacity: Achievability Proof

- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .
- **Encoding:** For message  $m \in [2^{nR}]$ , send codeword  $X^n(m)$ .
- **Decoding:** Search for  $\hat{m}$  such that  $(X^n(\hat{m}), Y^n)$  is **jointly typical**. If only one such  $\hat{m}$ , output it as the message estimate. Otherwise, declare an error.
- **Error Analysis:** Two possibilities.

## Point-to-Point Capacity: Achievability Proof

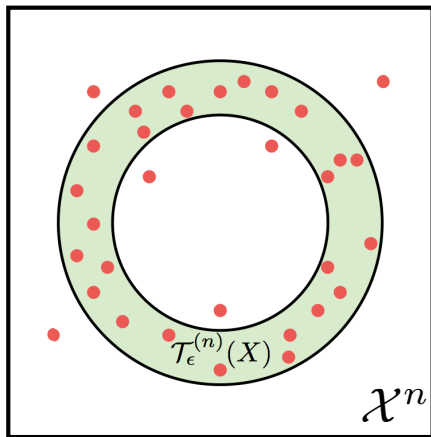
- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .
- **Encoding:** For message  $m \in [2^{nR}]$ , send codeword  $X^n(m)$ .
- **Decoding:** Search for  $\hat{m}$  such that  $(X^n(\hat{m}), Y^n)$  is **jointly typical**. If only one such  $\hat{m}$ , output it as the message estimate. Otherwise, declare an error.
- **Error Analysis:** Two possibilities.
  - **True codeword is not jointly typical**,  $(X^n(m), Y^n) \notin \mathcal{T}_\epsilon^{(n)}$ .  
Probability goes to zero via WLLN.

## Point-to-Point Capacity: Achievability Proof

- **Code Construction:** Generate  $2^{nR}$  random codewords  $X^n(1), \dots, X^n(2^{nR})$  with each element drawn i.i.d.  $p_X(x)$ .
- **Encoding:** For message  $m \in [2^{nR}]$ , send codeword  $X^n(m)$ .
- **Decoding:** Search for  $\hat{m}$  such that  $(X^n(\hat{m}), Y^n)$  is **jointly typical**. If only one such  $\hat{m}$ , output it as the message estimate. Otherwise, declare an error.
- **Error Analysis:** Two possibilities.
  - **True codeword is not jointly typical**,  $(X^n(m), Y^n) \notin \mathcal{T}_\epsilon^{(n)}$ . Probability goes to zero via WLLN.
  - **Some other codeword is jointly typical**,

$$\begin{aligned} \mathbb{P}\left\{ \bigcup_{\tilde{m} \neq m} \{(X^n(\tilde{m}), Y^n) \in \mathcal{T}_\epsilon^{(n)}\} \right\} &\leq \sum_{\tilde{m} \neq m} \mathbb{P}\{(X^n(\tilde{m}), Y^n) \in \mathcal{T}_\epsilon^{(n)}\} \\ &\leq \sum_{\tilde{m} \neq m} 2^{-nI(X;Y) - \delta(\epsilon)} \\ &< 2^{nR} 2^{-nI(X;Y) - \delta(\epsilon)} . \end{aligned}$$

Probability goes to zero if  $R < I(X;Y) - \delta(\epsilon)$ .



## Random i.i.d. Codes

- Codewords are **independent** of one another.
- Can directly target an **input distribution**  $p_X(x)$ .



**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### **Algebraic Approach:**

- Utilize **linear or lattice** codebooks.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.
- Coding schemes exhibit behavior not found via **i.i.d. ensembles**.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.
- Coding schemes exhibit behavior not found via **i.i.d. ensembles**.
- However, some classical coding techniques are still unavailable.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.
- Coding schemes exhibit behavior not found via **i.i.d. ensembles**.
- However, some classical coding techniques are still unavailable.
- Most of the initial efforts have focused on Gaussian networks and have employed nested lattice codebooks.

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.
- Coding schemes exhibit behavior not found via **i.i.d. ensembles**.
- However, some classical coding techniques are still unavailable.
- Most of the initial efforts have focused on Gaussian networks and have employed nested lattice codebooks.
- Are these just a collection of intriguing examples or elements of a more general theory?

**Goal:** Roughly speaking, for a given network, determine necessary and sufficient conditions on the rates at which the sources (or some functions thereof) can be communicated to the destinations.

### Algebraic Approach:

- Utilize **linear or lattice** codebooks.
- Compelling examples starting from the work of Körner and Marton on distributed compression and, more recently, many papers on physical-layer network coding, distributed dirty paper coding, and interference alignment.
- Coding schemes exhibit behavior not found via **i.i.d. ensembles**.
- However, some classical coding techniques are still unavailable.
- Most of the initial efforts have focused on Gaussian networks and have employed nested lattice codebooks.
- Are these just a collection of intriguing examples or elements of a more general theory?

**This Talk:** We build on previous work and propose a **joint typicality** approach to **algebraic network information theory**.

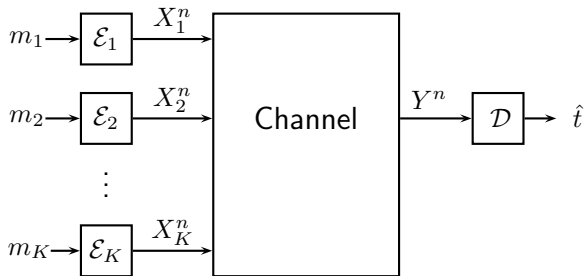


## *Compute-and-Forward*

**Goal:** Send a **linear combination** of the messages to the receiver.

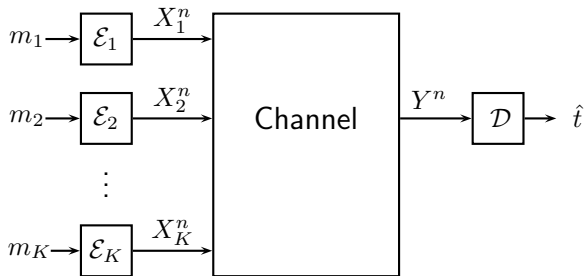
## Compute-and-Forward

**Goal:** Send a **linear combination** of the messages to the receiver.



## Compute-and-Forward

**Goal:** Send a **linear combination** of the messages to the receiver.



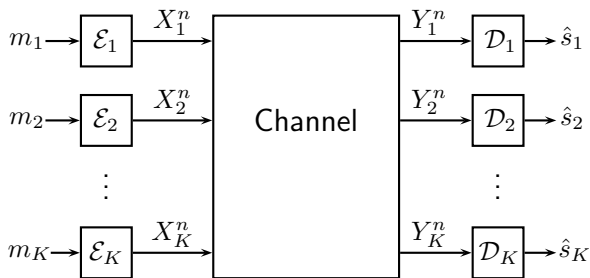
$\nu(\cdot) = q$ -ary expansion

$$\nu(s) = \bigoplus_{k=1}^K a_k \nu(m_k)$$

$\mathbb{F}_q^\kappa$

## Compute-and-Forward

**Goal:** Send **linear combinations** of the messages to the receivers.



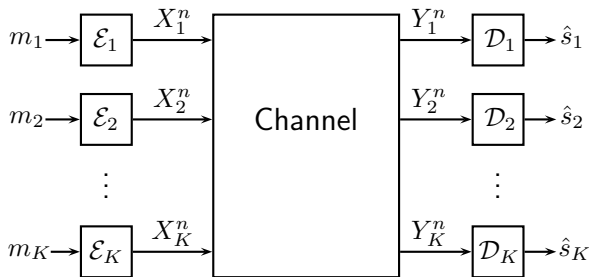
$$\begin{aligned} \boldsymbol{\nu}(\cdot) &= \text{q-ary expansion} \\ \boldsymbol{\nu}(s_\ell) &= \bigoplus_{k=1}^K a_{\ell,k} \boldsymbol{\nu}(m_k) \end{aligned}$$

$$\mathbb{F}_q^\kappa$$

## Compute-and-Forward

**Goal:** Send **linear combinations** of the messages to the receivers.

- Compute-and-forward can serve as a framework for **communicating** messages across a network (e.g., relaying, MIMO uplink/downlink, interference alignment).



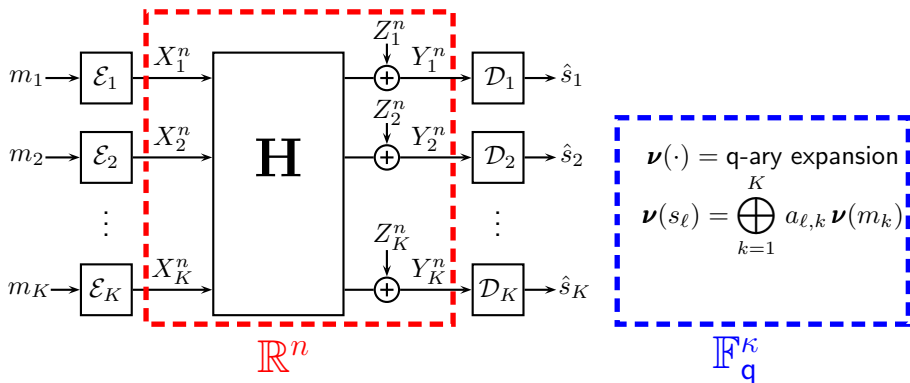
$$\begin{aligned} \boldsymbol{\nu}(\cdot) &= \text{q-ary expansion} \\ \boldsymbol{\nu}(s_\ell) &= \bigoplus_{k=1}^K a_{\ell,k} \boldsymbol{\nu}(m_k) \end{aligned}$$

$$\mathbb{F}_q^\kappa$$

## Compute-and-Forward

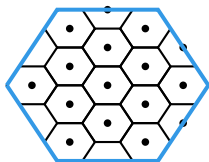
**Goal:** Send **linear combinations** of the messages to the receivers.

- Compute-and-forward can serve as a framework for **communicating** messages across a network (e.g., relaying, MIMO uplink/downlink, interference alignment).
- Much of the recent work has focused on **Gaussian** networks.



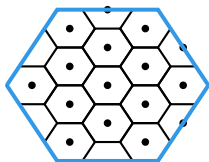
## *Nested Lattice Codes*

- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .



## Nested Lattice Codes

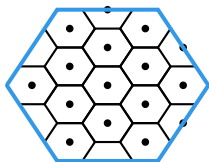
- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .
- Fine lattice  $\Lambda_F$  protects against **noise**.





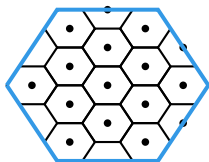
## Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .
- Fine lattice  $\Lambda_F$  protects against **noise**.
- Coarse lattice  $\Lambda_C$  enforces the **power constraint**.



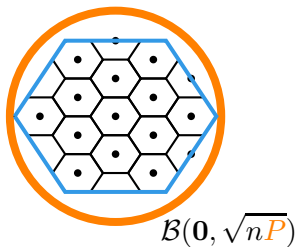
## Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .
- Fine lattice  $\Lambda_F$  protects against **noise**.
- Coarse lattice  $\Lambda_C$  enforces the **power constraint**.
- Existence of good nested lattice codes: **Loeliger '97, Forney-Trott-Chung '00, Erez-Litsyn-Zamir '05, Ordentlich-Erez '16.**
- **Erez-Zamir '04:** Nested lattice codes can achieve the Gaussian capacity.
- **Zamir-Shamai-Erez '02:** Excellent framework for multi-terminal binning.



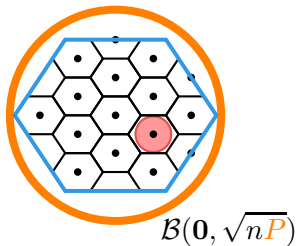
## Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .
- Fine lattice  $\Lambda_F$  protects against **noise**.
- Coarse lattice  $\Lambda_C$  enforces the **power constraint**.
- Existence of good nested lattice codes: **Loeliger '97, Forney-Trott-Chung '00, Erez-Litsyn-Zamir '05, Ordentlich-Erez '16.**
- **Erez-Zamir '04:** Nested lattice codes can achieve the Gaussian capacity.
- **Zamir-Shamai-Erez '02:** Excellent framework for multi-terminal binning.



## Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of  $\Lambda_F$  that lie in the fundamental Voronoi region of  $\Lambda_C$ .
- Fine lattice  $\Lambda_F$  protects against **noise**.
- Coarse lattice  $\Lambda_C$  enforces the **power constraint**.
- Existence of good nested lattice codes: **Loeliger '97, Forney-Trott-Chung '00, Erez-Litsyn-Zamir '05, Ordentlich-Erez '16.**
- **Erez-Zamir '04:** Nested lattice codes can achieve the Gaussian capacity.
- **Zamir-Shamai-Erez '02:** Excellent framework for multi-terminal binning.



- In the interest of conveying the key intuitions, I will now switch to heuristic arguments for the next few slides.
- Although the steps I will show do not constitute a formal proof, all of the conclusions we will reach can be shown rigorously (with some extra steps in between).
- Interested in the details? See  
B. Nazer and M. Gastpar, Compute-and-Forward: Harnessing Interference through Structured Codes, IEEE Transactions on Information Theory, vol. 57, no. 10, pp. 6463-6486, October 2011.

- The Voronoi region  $\mathcal{V}_C$  of the coarse lattice  $\Lambda_C$  enforces the **power constraint**: If  $\mathbf{x} \in \mathcal{V}_C$ , then  $\frac{1}{n}\|\mathbf{x}\|^2 \leq P$ .

## Nested Lattice Code Heuristics

- The Voronoi region  $\mathcal{V}_C$  of the coarse lattice  $\Lambda_C$  enforces the **power constraint**: If  $\mathbf{x} \in \mathcal{V}_C$ , then  $\frac{1}{n}\|\mathbf{x}\|^2 \leq P$ .
- The Voronoi region  $\mathcal{V}_F$  of the fine lattice  $\Lambda_F$  tolerates **noise** up to variance  $\sigma_{\text{eff}}^2$ : For “well-behaved” noise  $\mathbf{z}_{\text{eff}}$ , if  $\frac{1}{n}\mathbb{E}\|\mathbf{z}_{\text{eff}}\|^2 \leq \sigma_{\text{eff}}^2$ , then  $P(\mathbf{z}_{\text{eff}} \notin \mathcal{V}_F) < \delta$  for some small  $\delta$ .

## Nested Lattice Code Heuristics

- The Voronoi region  $\mathcal{V}_C$  of the coarse lattice  $\Lambda_C$  enforces the **power constraint**: If  $\mathbf{x} \in \mathcal{V}_C$ , then  $\frac{1}{n}\|\mathbf{x}\|^2 \leq P$ .
- The Voronoi region  $\mathcal{V}_F$  of the fine lattice  $\Lambda_F$  tolerates **noise** up to variance  $\sigma_{\text{eff}}^2$ : For “well-behaved” noise  $\mathbf{z}_{\text{eff}}$ , if  $\frac{1}{n}\mathbb{E}\|\mathbf{z}_{\text{eff}}\|^2 \leq \sigma_{\text{eff}}^2$ , then  $P(\mathbf{z}_{\text{eff}} \notin \mathcal{V}_F) < \delta$  for some small  $\delta$ .
- The number of codewords in the nested lattice codebook  $\Lambda_F \cap \mathcal{V}_C$  is

$$\frac{\text{Vol}(\mathcal{V}_C)}{\text{Vol}(\mathcal{V}_F)} \approx \frac{\text{Vol}\left(\mathcal{B}(\mathbf{0}, \sqrt{n\text{SNR}})\right)}{\text{Vol}\left(\mathcal{B}(\mathbf{0}, \sqrt{n\sigma_{\text{eff}}^2})\right)} = \left(\frac{P}{\sigma_{\text{eff}}^2}\right)^{n/2}$$



## Nested Lattice Code Heuristics

- The Voronoi region  $\mathcal{V}_C$  of the coarse lattice  $\Lambda_C$  enforces the **power constraint**: If  $\mathbf{x} \in \mathcal{V}_C$ , then  $\frac{1}{n}\|\mathbf{x}\|^2 \leq P$ .
- The Voronoi region  $\mathcal{V}_F$  of the fine lattice  $\Lambda_F$  tolerates **noise** up to variance  $\sigma_{\text{eff}}^2$ : For “well-behaved” noise  $\mathbf{z}_{\text{eff}}$ , if  $\frac{1}{n}\mathbb{E}\|\mathbf{z}_{\text{eff}}\|^2 \leq \sigma_{\text{eff}}^2$ , then  $P(\mathbf{z}_{\text{eff}} \notin \mathcal{V}_F) < \delta$  for some small  $\delta$ .
- The number of codewords in the nested lattice codebook  $\Lambda_F \cap \mathcal{V}_C$  is

$$\frac{\text{Vol}(\mathcal{V}_C)}{\text{Vol}(\mathcal{V}_F)} \approx \frac{\text{Vol}\left(\mathcal{B}(\mathbf{0}, \sqrt{n\text{SNR}})\right)}{\text{Vol}\left(\mathcal{B}(\mathbf{0}, \sqrt{n\sigma_{\text{eff}}^2})\right)} = \left(\frac{P}{\sigma_{\text{eff}}^2}\right)^{n/2}$$

- Can show that the **achievable rate** satisfies  $R > \frac{1}{2} \log \left( \frac{P}{\sigma_{\text{eff}}^2} \right) - \delta$ .

## *Compute-and-Forward: First Attempt*

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z}$$

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{k=1}^K (h_k - a_k) \mathbf{x}_k + \mathbf{z}}_{\text{Effective Noise}}$$

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{k=1}^K (h_k - a_k) \mathbf{x}_k + \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{k=1}^K (h_k - a_k) \mathbf{x}_k + \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = 1 + P \sum_{k=1}^K (h_k - a_k)^2 = 1 + P \|\mathbf{h} - \mathbf{a}\|^2$$

where  $\mathbf{h} \in \mathbb{R}^K$  and  $\mathbf{a} \in \mathbb{Z}^K$ .

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{k=1}^K (h_k - a_k) \mathbf{x}_k + \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = 1 + P \sum_{k=1}^K (h_k - a_k)^2 = 1 + P \|\mathbf{h} - \mathbf{a}\|^2$$

where  $\mathbf{h} \in \mathbb{R}^K$  and  $\mathbf{a} \in \mathbb{Z}^K$ .

- Overall, we can decode  $\mathbf{v}$  if  $R < \frac{1}{2} \log \left( \frac{P}{1 + P \|\mathbf{h} - \mathbf{a}\|^2} \right)$ .

## Compute-and-Forward: First Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . We can write this as

$$\mathbf{y} = \sum_{k=1}^K h_k \mathbf{x}_k + \mathbf{z} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{k=1}^K (h_k - a_k) \mathbf{x}_k + \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = 1 + P \sum_{k=1}^K (h_k - a_k)^2 = 1 + P \|\mathbf{h} - \mathbf{a}\|^2$$

where  $\mathbf{h} \in \mathbb{R}^K$  and  $\mathbf{a} \in \mathbb{Z}^K$ .

- Overall, we can decode  $\mathbf{v}$  if  $R < \frac{1}{2} \log \left( \frac{P}{1 + P \|\mathbf{h} - \mathbf{a}\|^2} \right)$ .
- Best  $\mathbf{a} = \text{round}(\mathbf{h})$ . (Not an interesting Diophantine problem.)



## *Compute-and-Forward: Second Attempt*

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

$$\alpha \mathbf{y} =$$

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

$$\alpha \mathbf{y} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z}}_{\text{Effective Noise}}$$

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

$$\alpha \mathbf{y} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

$$\alpha \mathbf{y} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = \alpha^2 + \text{SNR} \sum_{k=1}^K (\alpha h_k - a_k)^2 = \alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2.$$

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

$$\alpha \mathbf{y} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = \alpha^2 + \text{SNR} \sum_{k=1}^K (\alpha h_k - a_k)^2 = \alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2.$$

- We can decode  $\mathbf{v}$  if  $R < \frac{1}{2} \log \left( \frac{\text{SNR}}{\alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2} \right)$ .

## Compute-and-Forward: Second Attempt

- Each encoder maps its message  $m_k$  to a **lattice codeword**  $\mathbf{x}_k$ .
- The decoder observes  $\mathbf{y}$ . It scales by  $\alpha \in \mathbb{R}$  to get  $\mathbf{h} \in \mathbb{R}^K$  “closer” to  $\mathbf{a} \in \mathbb{Z}^K$ . We can write this as

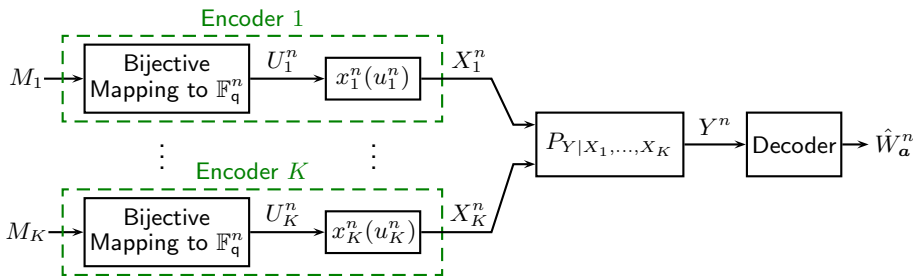
$$\alpha \mathbf{y} = \underbrace{\sum_{k=1}^K a_k \mathbf{x}_k}_{\text{Lattice Codeword}} + \underbrace{\sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z}}_{\text{Effective Noise}} = \mathbf{v} + \mathbf{z}_{\text{eff}}$$

- The **effective noise variance** is

$$\sigma_{\text{eff}}^2 = \frac{1}{n} \mathbb{E} \|\mathbf{z}_{\text{eff}}\|^2 = \alpha^2 + \text{SNR} \sum_{k=1}^K (\alpha h_k - a_k)^2 = \alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2.$$

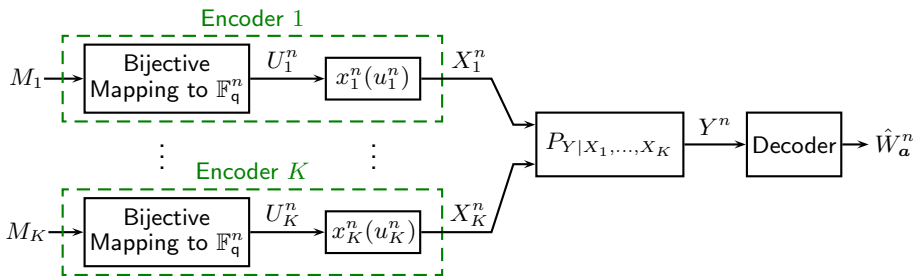
- We can decode  $\mathbf{v}$  if  $R < \frac{1}{2} \log \left( \frac{\text{SNR}}{\alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2} \right)$ .
- Finding the best  $\mathbf{a}$  corresponds to finding the shortest vector in the lattice  $(\text{SNR}^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^T)^{-1/2} \mathbb{Z}^K$ .

# Compute-and-Forward: Beyond Gaussian Channels



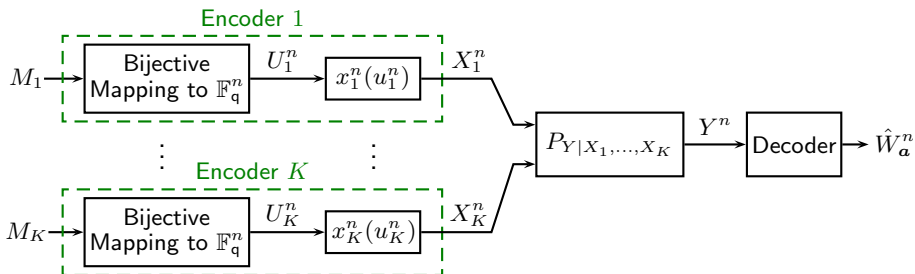


## Compute-and-Forward: Beyond Gaussian Channels



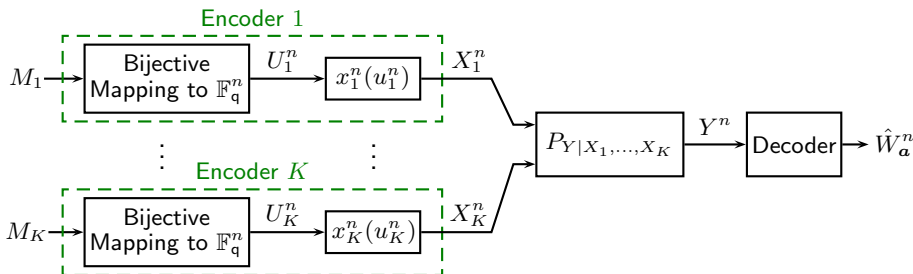
- Messages:  $m_k \in [2^{nR_k}] \triangleq \{0, \dots, 2^{nR_k} - 1\}$ ,  $k = 1, \dots, K$ .

## Compute-and-Forward: Beyond Gaussian Channels



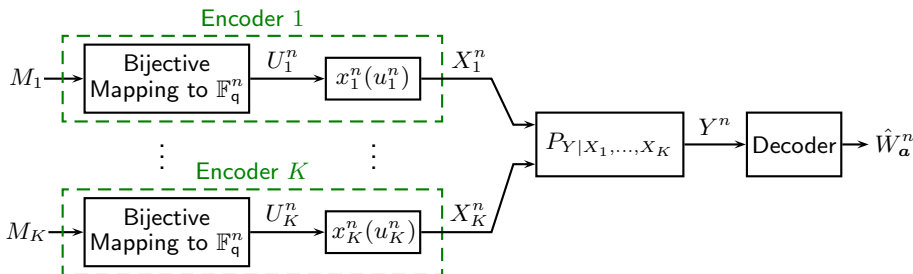
- Messages:  $m_k \in [2^{nR_k}] \triangleq \{0, \dots, 2^{nR_k} - 1\}$ ,  $k = 1, \dots, K$ .
- Encoders: mappings  $(u_k^n, x_k^n)(m_k) \in \mathbb{F}_q^n \times \mathcal{X}_k^n$ ,  $k = 1, \dots, K$  such that  $u_k^n(m_k)$  is *bijection*.

## Compute-and-Forward: Beyond Gaussian Channels



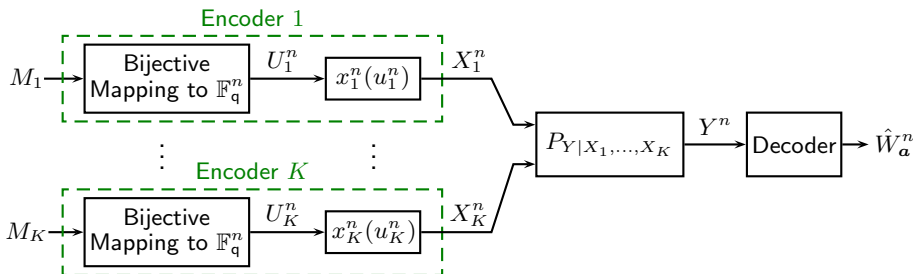
- Messages:  $m_k \in [2^{nR_k}] \triangleq \{0, \dots, 2^{nR_k} - 1\}$ ,  $k = 1, \dots, K$ .
- Encoders: mappings  $(u_k^n, x_k^n)(m_k) \in \mathbb{F}_q^n \times \mathcal{X}_k^n$ ,  $k = 1, \dots, K$  such that  $u_k^n(m_k)$  is *bijjective*.
- Linear Combination:  $w_a^n \triangleq \bigoplus_k a_k u_k^n(m_k)$ ,  $\mathbf{a} = [a_1 \ \dots \ a_K] \in \mathbb{F}_q^K$

## Compute-and-Forward: Beyond Gaussian Channels



- Messages:  $m_k \in [2^{nR_k}] \triangleq \{0, \dots, 2^{nR_k} - 1\}$ ,  $k = 1, \dots, K$ .
- Encoders: mappings  $(u_k^n, x_k^n)(m_k) \in \mathbb{F}_q^n \times \mathcal{X}_k^n$ ,  $k = 1, \dots, K$  such that  $u_k^n(m_k)$  is *bijjective*.
- Linear Combination:  $w_a^n \triangleq \bigoplus_k a_k u_k^n(m_k)$ ,  $\mathbf{a} = [a_1 \ \dots \ a_K] \in \mathbb{F}_q^K$
- Decoder: assigns an estimate  $\hat{w}_a^n \in \mathbb{F}_q^n$  to each  $y^n \in \mathcal{Y}^n$ .

## Compute-and-Forward: Beyond Gaussian Channels



- Messages:  $m_k \in [2^{nR_k}] \triangleq \{0, \dots, 2^{nR_k} - 1\}$ ,  $k = 1, \dots, K$ .
- Encoders: mappings  $(u_k^n, x_k^n)(m_k) \in \mathbb{F}_q^n \times \mathcal{X}_k^n$ ,  $k = 1, \dots, K$  such that  $u_k^n(m_k)$  is *bijjective*.
- Linear Combination:  $w_a^n \triangleq \bigoplus_k a_k u_k^n(m_k)$ ,  $\mathbf{a} = [a_1 \ \dots \ a_K] \in \mathbb{F}_q^K$
- Decoder: assigns an estimate  $\hat{w}_a^n \in \mathbb{F}_q^n$  to each  $y^n \in \mathcal{Y}^n$ .
- Probability of Error: For uniformly distributed messages  $M_1, \dots, M_K$ , want **vanishing probability of error**  $P\{\hat{W}_a^n \neq W_a^n\}$ .

### High-Level Intuition:

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition:

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.
- True Codeword: Want  $(W_a^n, Y^n)$  to look **jointly typical**.

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition:

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.
- True Codeword: Want  $(W_a^n, Y^n)$  to look **jointly typical**.
- Decoder searches for sequences  $\tilde{w}_a^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_a|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_a^n$  of the **linear combination**  $W_a^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .



### High-Level Intuition:

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.
- True Codeword: Want  $(W_a^n, Y^n)$  to look **jointly typical**.
- Decoder searches for sequences  $\tilde{w}_a^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_a|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_a^n$  of the **linear combination**  $W_a^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_a|Y).$$

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.
- True Codeword: Want  $(W_a^n, Y^n)$  to look **jointly typical**.
- Decoder searches for sequences  $\tilde{w}_a^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_a|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_a^n$  of the **linear combination**  $W_a^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_a|Y).$$

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.  
(**Linear codewords look uniform.**)
- True Codeword: Want  $(W_{\mathbf{a}}, Y^n)$  to look **jointly typical**.
- Decoder searches for sequences  $\tilde{w}_{\mathbf{a}}^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_{\mathbf{a}}|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_{\mathbf{a}}^n$  of the **linear combination**  $W_{\mathbf{a}}^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_{\mathbf{a}}|Y).$$

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.  
(**Linear codewords look uniform.**)
- True Codeword: Want  $(W_{\mathbf{a}}, Y^n)$  to look **jointly typical**.  
(**Proof is actually a bit involved.**)
- Decoder searches for sequences  $\tilde{w}_{\mathbf{a}}^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_{\mathbf{a}}|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_{\mathbf{a}}^n$  of the **linear combination**  $W_{\mathbf{a}}^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_{\mathbf{a}}|Y).$$

## Compute-and-Forward: Beyond Gaussian Channels

### High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.  
(Linear codewords look uniform.)
- True Codeword: Want  $(W_{\mathbf{a}}, Y^n)$  to look **jointly typical**.  
(Proof is actually a bit involved.)
- Decoder searches for sequences  $\tilde{w}_{\mathbf{a}}^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_{\mathbf{a}}|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_{\mathbf{a}}^n$  of the **linear combination**  $W_{\mathbf{a}}^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .  
(Suboptimal decoding rule)
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_{\mathbf{a}}|Y).$$

## Compute-and-Forward: Beyond Gaussian Channels

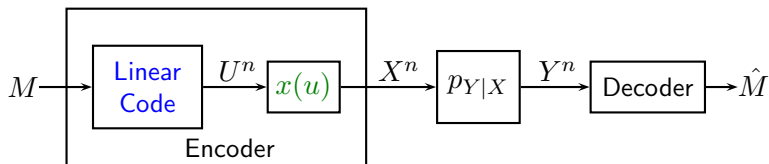
### High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want  $U_k^n$  to look **typical** with respect to pmf  $p_{U_k}(u_k)$ . There are  $\approx 2^{nH(U_k)}$  typical sequences.  
(**Linear codewords look uniform.**)
- True Codeword: Want  $(W_{\mathbf{a}}, Y^n)$  to look **jointly typical**.  
(**Proof is actually a bit involved.**)
- Decoder searches for sequences  $\tilde{w}_{\mathbf{a}}^n$  that are **jointly typical** with  $Y^n$ . There are  $\approx 2^{nH(W_{\mathbf{a}}|Y)}$  possible sequences. If only one such sequence is **jointly typical**, declare it as the estimate  $\hat{W}_{\mathbf{a}}^n$  of the **linear combination**  $W_{\mathbf{a}}^n = a_1 U_1^n \oplus \dots \oplus a_K U_K^n$ .  
(**Suboptimal decoding rule**)
- We can show that, for this decoding strategy, we can achieve any rate tuple  $(R_1, \dots, R_K)$  satisfying

$$R_k < H(U_k) - H(W_{\mathbf{a}}|Y).$$

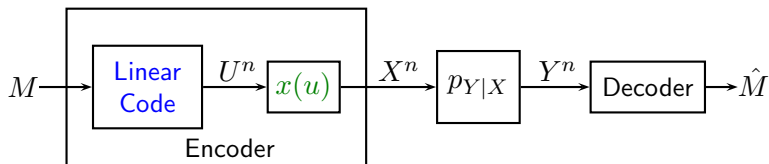
(**Not a mutual information and can be negative.**)

## Point-to-Point Channels: Linear Codes



**Code Construction:**

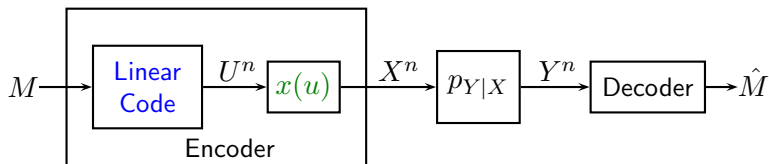
## Point-to-Point Channels: Linear Codes



### Code Construction:

- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .

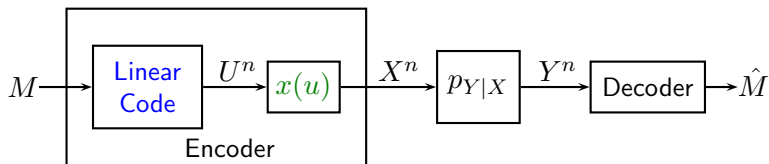




### Code Construction:

- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .

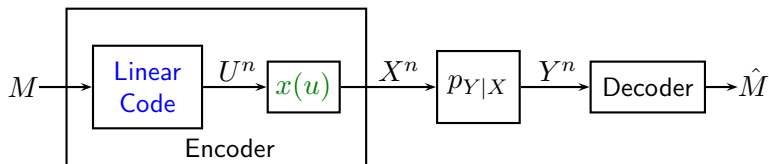
## Point-to-Point Channels: Linear Codes



### Code Construction:

- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .
- Draw a random generator matrix  $\mathbf{G} \in \mathbb{F}_q^{\kappa \times n}$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $G$  be a realization.

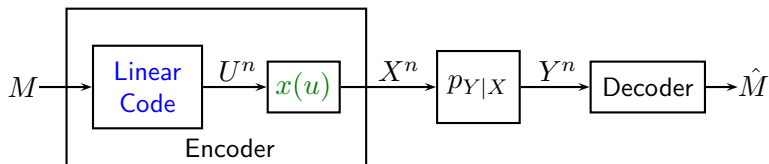
## Point-to-Point Channels: Linear Codes



### Code Construction:

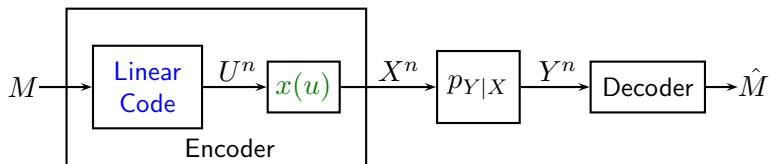
- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .
- Draw a random generator matrix  $\mathbf{G} \in \mathbb{F}_q^{\kappa \times n}$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $G$  be a realization.
- Draw a random shift (or “dither”)  $D^n$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $d^n$  be a realization.

## Point-to-Point Channels: Linear Codes



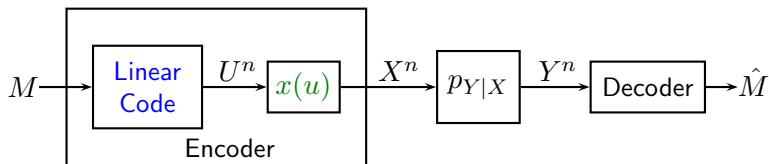
### Code Construction:

- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .
- Draw a random generator matrix  $\mathbf{G} \in \mathbb{F}_q^{\kappa \times n}$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $G$  be a realization.
- Draw a random shift (or “dither”)  $D^n$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $d^n$  be a realization.
- Take  $q$ -ary expansion of message  $m$  into the vector  $\mathbf{v}(m) \in \mathbb{F}_q^\kappa$ .



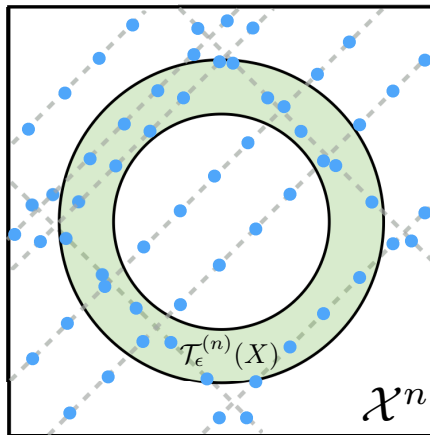
### Code Construction:

- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .
- Draw a random generator matrix  $\mathbf{G} \in \mathbb{F}_q^{\kappa \times n}$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $G$  be a realization.
- Draw a random shift (or "dither")  $D^n$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $d^n$  be a realization.
- Take  $q$ -ary expansion of message  $m$  into the vector  $\boldsymbol{\nu}(m) \in \mathbb{F}_q^\kappa$ .
- **Linear codeword** for message  $m$  is  $u^n(m) = \boldsymbol{\nu}(m)\mathbf{G} \oplus d^n$ .



### Code Construction:

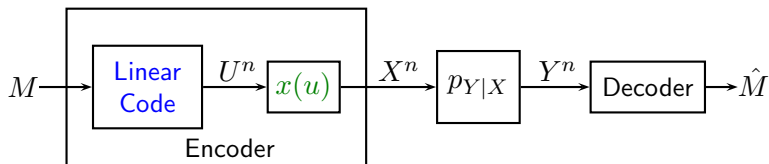
- Pick a finite field  $\mathbb{F}_q$  and a **symbol mapping**  $x : \mathbb{F}_q \rightarrow \mathcal{X}$ .
- Set  $\kappa = nR / \log(q)$ .
- Draw a random generator matrix  $\mathbf{G} \in \mathbb{F}_q^{\kappa \times n}$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $\mathbf{G}$  be a realization.
- Draw a random shift (or "dither")  $D^n$  elementwise i.i.d.  $\text{Unif}(\mathbb{F}_q)$ . Let  $d^n$  be a realization.
- Take  $q$ -ary expansion of message  $m$  into the vector  $\mathbf{v}(m) \in \mathbb{F}_q^\kappa$ .
- **Linear codeword** for message  $m$  is  $u^n(m) = \mathbf{v}(m)\mathbf{G} \oplus d^n$ .
- **Channel input** at time  $i$  is  $x_i(m) = x(u_i(m))$ .



## Random Linear Codes

- Codewords are **pairwise independent** of one another.
- Codewords are **uniformly distributed over**  $\mathbb{F}_q^n$ .

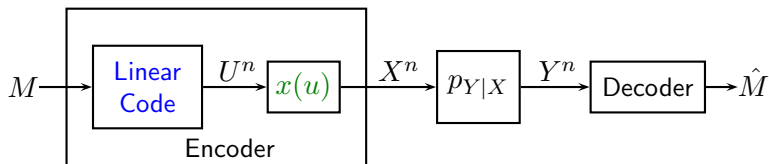
## Point-to-Point Channels: Linear Codes



- Well known that a direct application of **linear coding** is not sufficient to reach the point-to-point capacity, **Ahlsvede '71**.

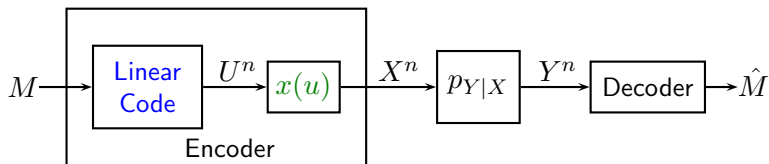


## Point-to-Point Channels: Linear Codes



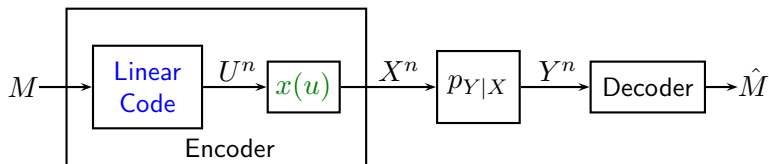
- Well known that a direct application of **linear coding** is not sufficient to reach the point-to-point capacity, **Ahlsvede '71**.
- **Gallager '68**: Pick  $\mathbb{F}_q$  with  $q \gg \mathcal{X}$  and choose **symbol mapping**  $x(u)$  to reach c.a.i.d. from  $\text{Unif}(\mathbb{F}_q)$ . This can attain the capacity.

## Point-to-Point Channels: Linear Codes



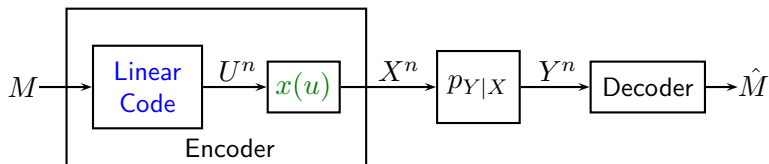
- Well known that a direct application of **linear coding** is not sufficient to reach the point-to-point capacity, **Ahlsvede '71**.
- **Gallager '68**: Pick  $\mathbb{F}_q$  with  $q \gg \mathcal{X}$  and choose **symbol mapping**  $x(u)$  to reach c.a.i.d. from  $\text{Unif}(\mathbb{F}_q)$ . This can attain the capacity.
- This will not work for us. Roughly speaking, if each encoder has a different input distribution, the **symbol mappings** may be quite different, which will disrupt the **linear structure** of the codebook.

## Point-to-Point Channels: Linear Codes



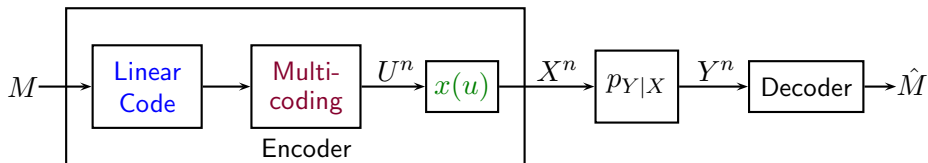
- Well known that a direct application of **linear coding** is not sufficient to reach the point-to-point capacity, **Ahlsvede '71**.
- **Gallager '68**: Pick  $\mathbb{F}_q$  with  $q \gg \mathcal{X}$  and choose **symbol mapping**  $x(u)$  to reach c.a.i.d. from  $\text{Unif}(\mathbb{F}_q)$ . This can attain the capacity.
- This will not work for us. Roughly speaking, if each encoder has a different input distribution, the **symbol mappings** may be quite different, which will disrupt the **linear structure** of the codebook.
- **Padakandla-Pradhan '13**: It is possible to **shape** the input distribution using **nested linear codes**.

## Point-to-Point Channels: Linear Codes



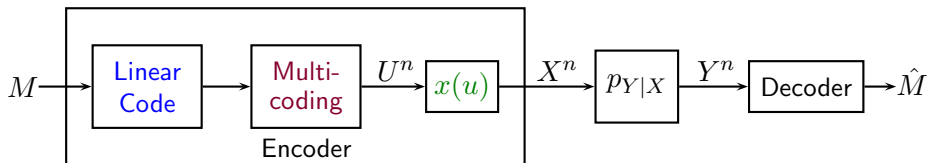
- Well known that a direct application of **linear coding** is not sufficient to reach the point-to-point capacity, **Ahlsvede '71**.
- **Gallager '68**: Pick  $\mathbb{F}_q$  with  $q \gg \mathcal{X}$  and choose **symbol mapping**  $x(u)$  to reach c.a.i.d. from  $\text{Unif}(\mathbb{F}_q)$ . This can attain the capacity.
- This will not work for us. Roughly speaking, if each encoder has a different input distribution, the **symbol mappings** may be quite different, which will disrupt the **linear structure** of the codebook.
- **Padakandla-Pradhan '13**: It is possible to **shape** the input distribution using **nested linear codes**.
- Basic idea: Generate many codewords to represent one message. Search in this "bin" to find a codeword with the desired type, i.e., **multicoding**.

## Point-to-Point Channels: Linear Codes + Multicoding



**Code Construction:**

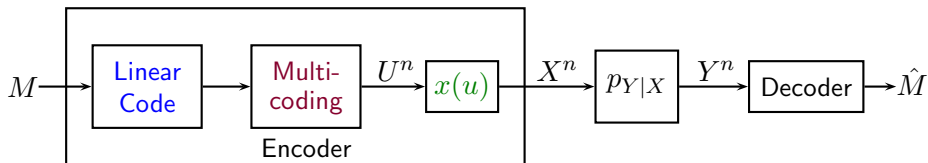
## Point-to-Point Channels: Linear Codes + Multicoding



### Code Construction:

- Messages  $m \in [2^{nR}]$  and auxiliary indices  $l \in [2^{n\hat{R}}]$ .

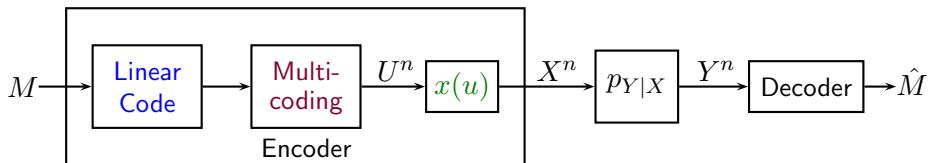
## Point-to-Point Channels: Linear Codes + Multicoding



### Code Construction:

- Messages  $m \in [2^{nR}]$  and auxiliary indices  $l \in [2^{n\hat{R}}]$ .
- Set  $\kappa = n(R + \hat{R})/\log(q)$ .

## Point-to-Point Channels: Linear Codes + Multicoding

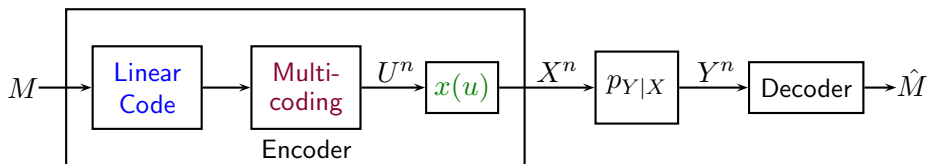


### Code Construction:

- Messages  $m \in [2^{nR}]$  and auxiliary indices  $l \in [2^{n\hat{R}}]$ .
- Set  $\kappa = n(R + \hat{R})/\log(q)$ .
- Pick generator matrix  $G$  and dither  $d^n$  as before.



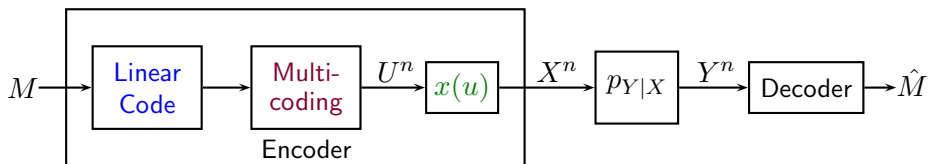
## Point-to-Point Channels: Linear Codes + Multicoding



### Code Construction:

- Messages  $m \in [2^{nR}]$  and auxiliary indices  $l \in [2^{n\hat{R}}]$ .
- Set  $\kappa = n(R + \hat{R})/\log(q)$ .
- Pick generator matrix  $G$  and dither  $d^n$  as before.
- Take  $q$ -ary expansions  $[\nu(m) \nu(l)] \in \mathbb{F}_q^\kappa$ .

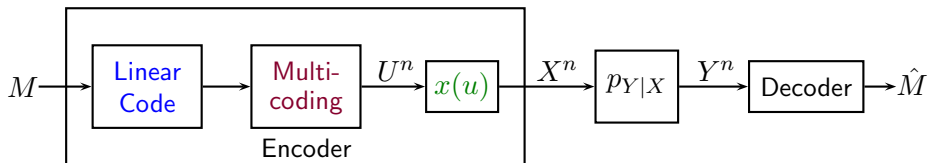
## Point-to-Point Channels: Linear Codes + Multicoding



### Code Construction:

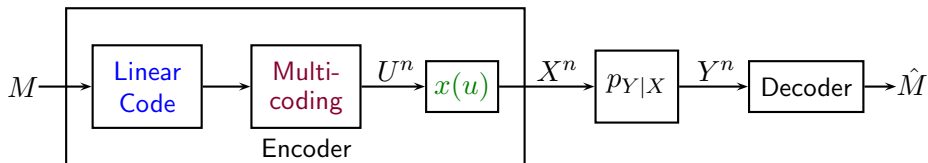
- Messages  $m \in [2^{nR}]$  and auxiliary indices  $l \in [2^{n\hat{R}}]$ .
- Set  $\kappa = n(R + \hat{R})/\log(q)$ .
- Pick generator matrix  $G$  and dither  $d^n$  as before.
- Take  $q$ -ary expansions  $[\nu(m) \nu(l)] \in \mathbb{F}_q^\kappa$ .
- **Linear codewords:**  $u^n(m, l) = [\nu(m) \nu(l)] G \oplus d^n$ .

## Point-to-Point Channels: Linear Codes + Multicoding



**Encoding:**

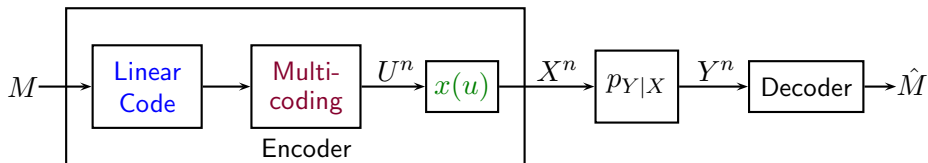
## Point-to-Point Channels: Linear Codes + Multicoding



### Encoding:

- Fix  $p(u)$  and  $x(u)$ .

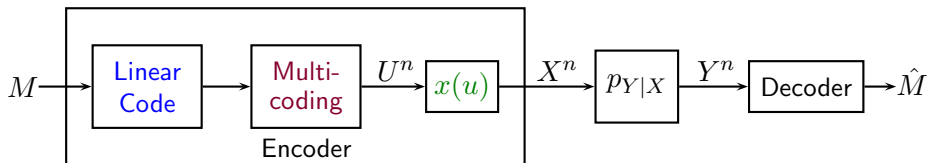
## Point-to-Point Channels: Linear Codes + Multicoding



### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$

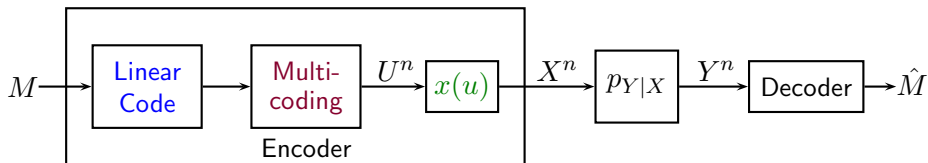
## Point-to-Point Channels: Linear Codes + Multicoding



### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$
- Succeeds w.h.p. if  $\hat{R} > D(p_U \| p_q)$  (where  $p_q$  is uniform over  $\mathbb{F}_q$ ).

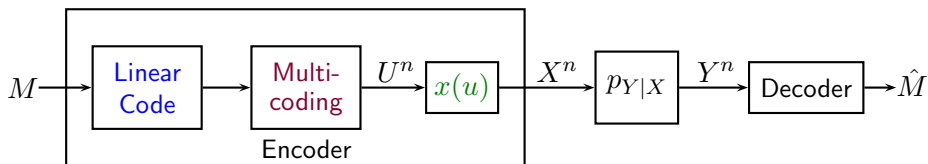
## Point-to-Point Channels: Linear Codes + Multicoding



### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$
- Succeeds w.h.p. if  $\hat{R} > D(p_U \| p_q)$  (where  $p_q$  is uniform over  $\mathbb{F}_q$ ).
- Transmit  $x_i = x(u_i(m, l))$ .

## Point-to-Point Channels: Linear Codes + Multicoding



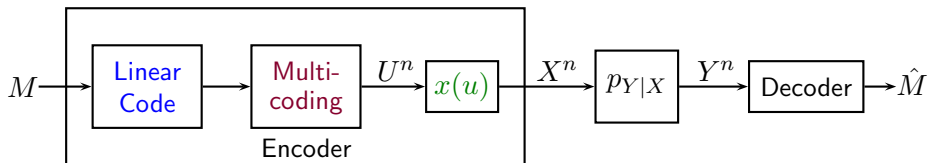
### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$
- Succeeds w.h.p. if  $\hat{R} > D(p_U \| p_q)$  (where  $p_q$  is uniform over  $\mathbb{F}_q$ ).
- Transmit  $x_i = x(u_i(m, l))$ .

### Decoding:



## Point-to-Point Channels: Linear Codes + Multicoding



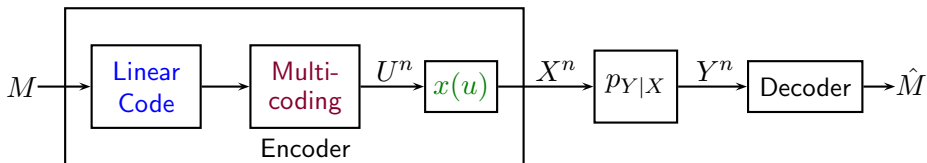
### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$
- Succeeds w.h.p. if  $\hat{R} > D(p_U \| p_q)$  (where  $p_q$  is uniform over  $\mathbb{F}_q$ ).
- Transmit  $x_i = x(u_i(m, l))$ .

### Decoding:

- **Joint Typicality Decoding:** Find the unique index  $\hat{m}$  such that  $(u^n(\hat{m}, \hat{l}), y^n) \in \mathcal{T}_{\epsilon}^{(n)}(U, Y)$  for some index  $\hat{l}$ .

## Point-to-Point Channels: Linear Codes + Multicoding



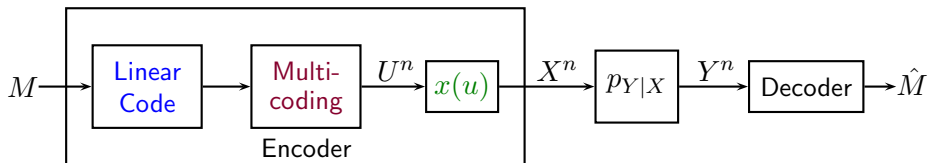
### Encoding:

- Fix  $p(u)$  and  $x(u)$ .
- **Multicoding:** For each  $m$ , find an index  $l$  such that  $u^n(m, l) \in \mathcal{T}_{\epsilon'}^{(n)}(U)$
- Succeeds w.h.p. if  $\hat{R} > D(p_U \| p_q)$  (where  $p_q$  is uniform over  $\mathbb{F}_q$ ).
- Transmit  $x_i = x(u_i(m, l))$ .

### Decoding:

- **Joint Typicality Decoding:** Find the unique index  $\hat{m}$  such that  $(u^n(\hat{m}, \hat{l}), y^n) \in \mathcal{T}_{\epsilon}^{(n)}(U, Y)$  for some index  $\hat{l}$ .
- Succeeds w.h.p. if  $R + \hat{R} < I(U; Y) + D(p_U \| p_q)$

## Point-to-Point Channels: Linear Codes + Multicoding



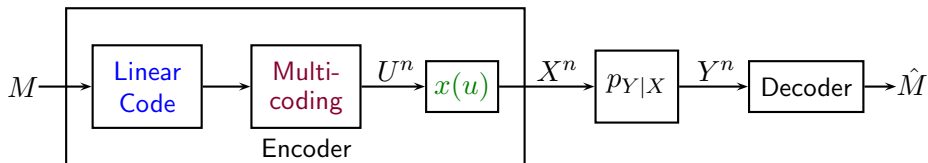
### Theorem (Padakandla-Pradhan '13)

Any rate  $R$  satisfying

$$R < \max_{p(u), x(u)} I(U; Y)$$

is achievable. This is equal to the capacity if  $q \geq |\mathcal{X}|$ .

## Point-to-Point Channels: Linear Codes + Multicoding



### Theorem (Padakandla-Pradhan '13)

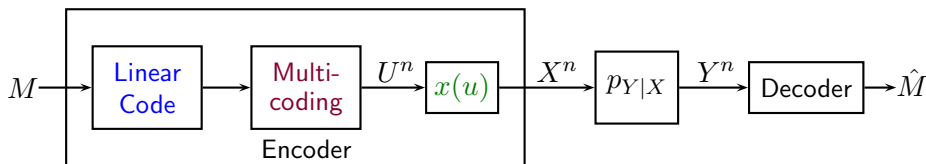
Any rate  $R$  satisfying

$$R < \max_{p(u), x(u)} I(U; Y)$$

is achievable. This is equal to the capacity if  $q \geq |\mathcal{X}|$ .

- This is the basic coding framework that we will use for each transmitter.

## Point-to-Point Channels: Linear Codes + Multicoding



### Theorem (Padakandla-Pradhan '13)

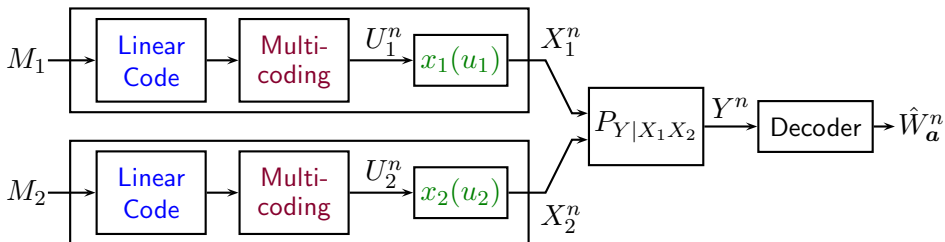
Any rate  $R$  satisfying

$$R < \max_{p(u), x(u)} I(U; Y)$$

is achievable. This is equal to the capacity if  $q \geq |\mathcal{X}|$ .

- This is the basic coding framework that we will use for each transmitter.
- Next, let's examine a two-transmitter, one-receiver "compute-and-forward" network.

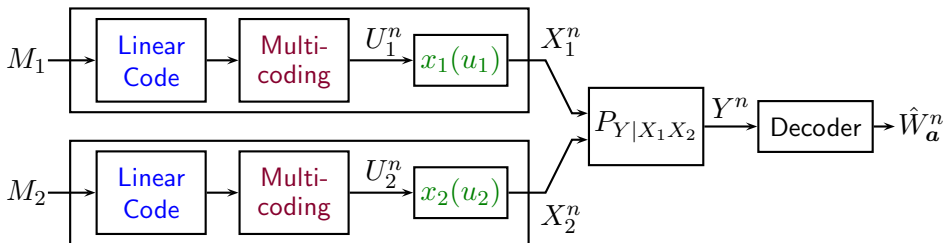
## Nested Linear Coding Architecture



### Code Construction:

- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .

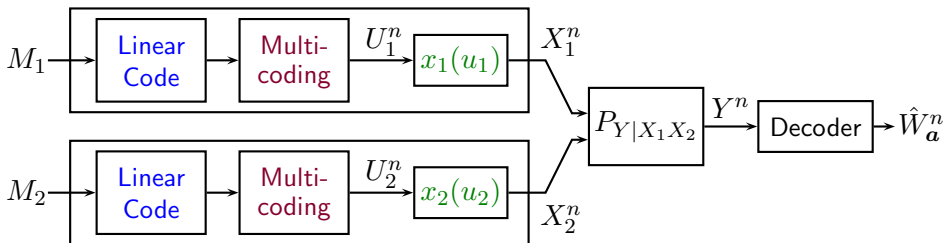
## Nested Linear Coding Architecture



### Code Construction:

- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .
- Set  $\kappa = n(\max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\})/\log(\mathbf{q})$ .

## Nested Linear Coding Architecture

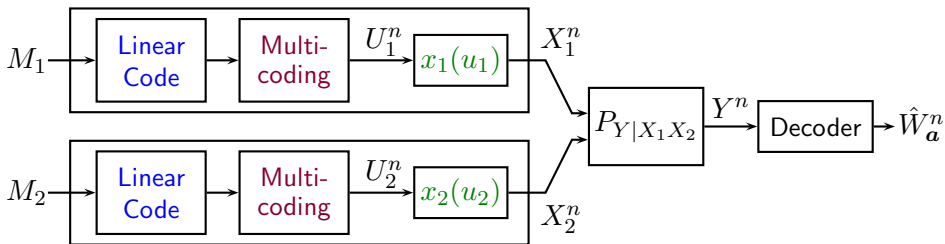


### Code Construction:

- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .
- Set  $\kappa = n(\max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\})/\log(\mathbf{q})$ .
- Pick generator matrix  $G$  and dithers  $d_1^n, d_2^n$  as before.



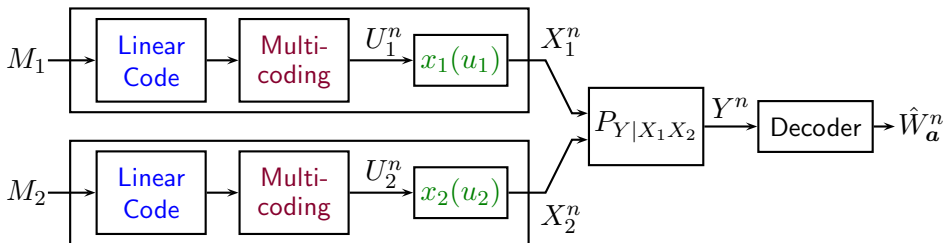
## Nested Linear Coding Architecture



### Code Construction:

- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .
- Set  $\kappa = n(\max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\})/\log(q)$ .
- Pick generator matrix  $G$  and dithers  $d_1^n, d_2^n$  as before.
- Take  $q$ -ary expansions 
$$\begin{aligned} [\nu(m_1) \quad \nu(l_1)] &\in \mathbb{F}_q^\kappa \\ [\nu(m_2) \quad \nu(l_2) \quad \mathbf{0}] &\in \mathbb{F}_q^\kappa \quad \text{Zero-padding} \end{aligned}$$

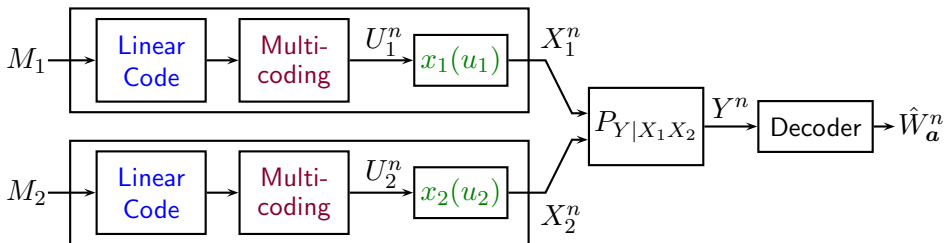
## Nested Linear Coding Architecture



### Code Construction:

- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .
- Set  $\kappa = n(\max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\})/\log(\mathbf{q})$ .
- Pick generator matrix  $G$  and dithers  $d_1^n, d_2^n$  as before.
- Take  $\mathbf{q}$ -ary expansions 
$$\begin{aligned} [\boldsymbol{\eta}(m_1, l_1)] &\in \mathbb{F}_{\mathbf{q}}^{\kappa} \\ [\boldsymbol{\eta}(m_2, l_2)] &\in \mathbb{F}_{\mathbf{q}}^{\kappa} \end{aligned}$$

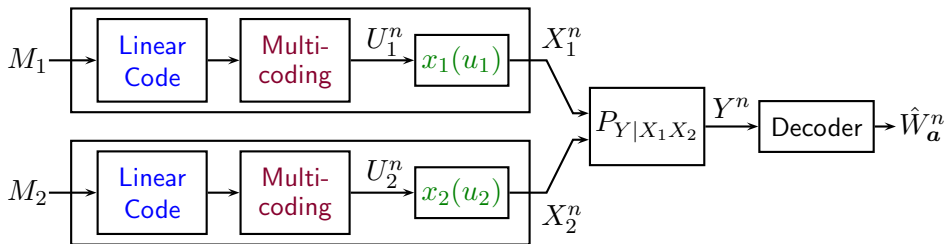
## Nested Linear Coding Architecture



### Code Construction:

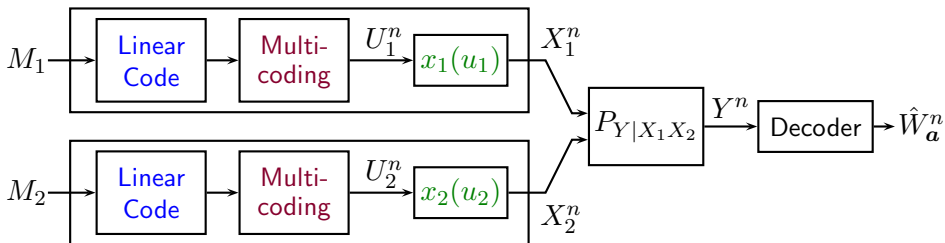
- Messages  $m_k \in [2^{nR_k}]$  and auxiliary indices  $l_k \in [2^{n\hat{R}_k}]$ ,  $k = 1, 2$ .
- Set  $\kappa = n(\max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\}) / \log(q)$ .
- Pick generator matrix  $G$  and dithers  $d_1^n, d_2^n$  as before.
- Take  $q$ -ary expansions 
$$\begin{aligned} [\boldsymbol{\eta}(m_1, l_1)] &\in \mathbb{F}_q^\kappa \\ [\boldsymbol{\eta}(m_2, l_2)] &\in \mathbb{F}_q^\kappa \end{aligned}$$
- **Linear codewords:** 
$$\begin{aligned} u_1^n(m_1, l_1) &= \boldsymbol{\eta}(m_1, l_1)G \oplus d_1^n \\ u_2^n(m_2, l_2) &= \boldsymbol{\eta}(m_2, l_2)G \oplus d_2^n \end{aligned}$$

## Nested Linear Coding Architecture



**Encoding:**

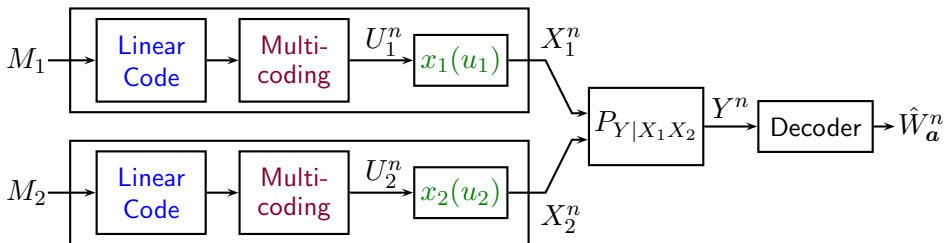
## Nested Linear Coding Architecture



### Encoding:

- Fix pmfs  $p(u_1)$ ,  $p(u_2)$  and mappings  $x_1(u_1)$ , and  $x_2(u_2)$ .

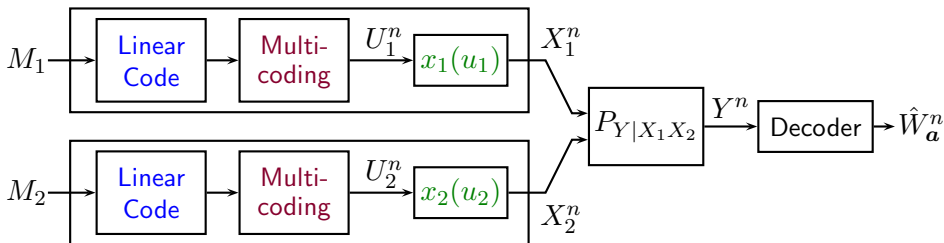
## Nested Linear Coding Architecture



### Encoding:

- Fix pmfs  $p(u_1)$ ,  $p(u_2)$  and mappings  $x_1(u_1)$ , and  $x_2(u_2)$ .
- **Multicoding:** For each  $m_k$ , find an index  $l_k$  such that  $u_k^n(m_k, l_k) \in \mathcal{T}_{\epsilon'}^{(n)}(U_k)$ . (If no such  $l_k$ , pick one randomly.)

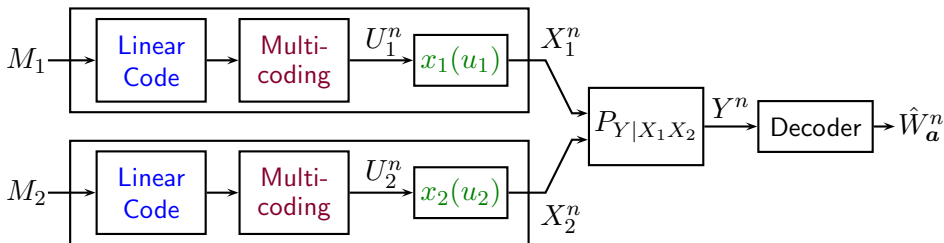
## Nested Linear Coding Architecture



### Encoding:

- Fix pmfs  $p(u_1)$ ,  $p(u_2)$  and mappings  $x_1(u_1)$ , and  $x_2(u_2)$ .
- **Multicoding:** For each  $m_k$ , find an index  $l_k$  such that  $u_k^n(m_k, l_k) \in \mathcal{T}_{\epsilon'}^{(n)}(U_k)$ . (If no such  $l_k$ , pick one randomly.)
- Transmit  $x_{ki} = x_k(u_{ki}(m_k, l_k))$ ,  $i = 1, \dots, n$ .

## Nested Linear Coding Architecture

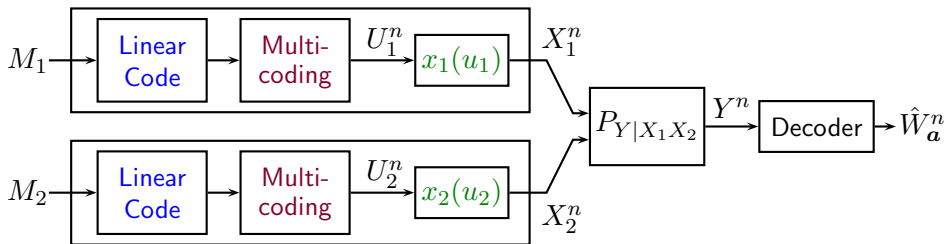


### Encoding:

- Fix pmfs  $p(u_1)$ ,  $p(u_2)$  and mappings  $x_1(u_1)$ , and  $x_2(u_2)$ .
- **Multicoding:** For each  $m_k$ , find an index  $l_k$  such that  $u_k^n(m_k, l_k) \in \mathcal{T}_{\epsilon'}^{(n)}(U_k)$ . (If no such  $l_k$ , pick one randomly.)
- Transmit  $x_{ki} = x_k(u_{ki}(m_k, l_k))$ ,  $i = 1, \dots, n$ .

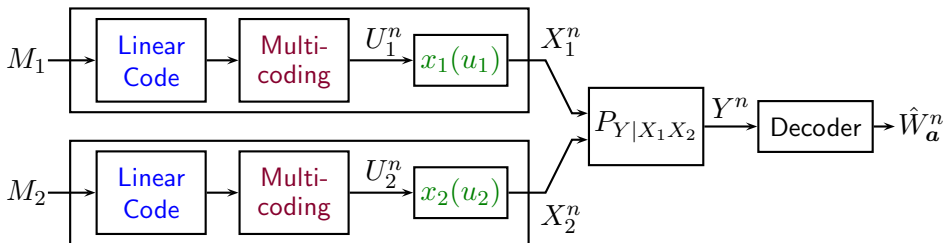


## Nested Linear Coding Architecture



**Computation Problem:**

## Nested Linear Coding Architecture



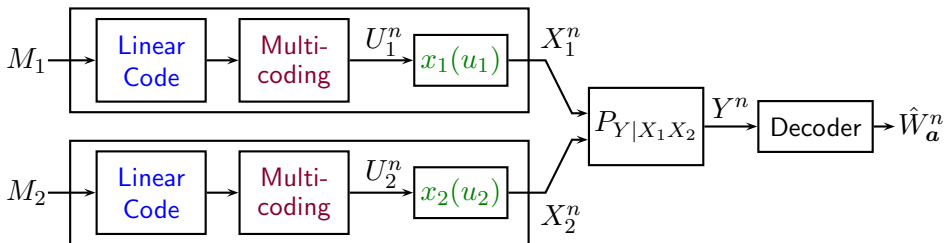
### Computation Problem:

- For  $m_k \in [2^{nR_k}]$ ,  $l_k \in [2^{n\hat{R}_k}]$ , we can express the linear combination of codewords as

$$\begin{aligned}w_a^n &= a_1 u_1^n(m_1, l_1) \oplus a_2 u_2^n(m_2, l_2) \\ &= [a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)] \mathbf{G} \oplus a_1 d_1^n \oplus a_2 d_2^n \\ &= \boldsymbol{\nu}(s_a) \mathbf{G} \oplus a_1 d_1^n \oplus a_2 d_2^n\end{aligned}$$

where  $s_a \in [2^{n \max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\}}]$ .

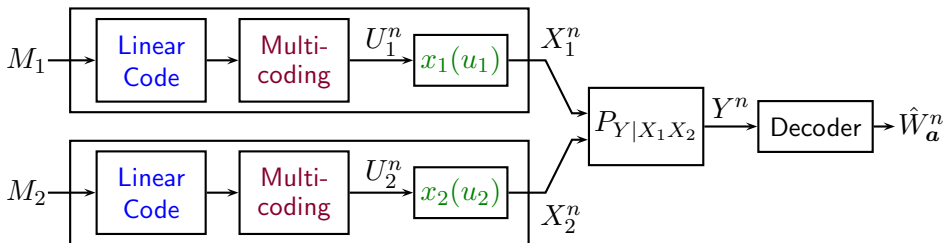
## Nested Linear Coding Architecture



### Decoding:

- Let  $\epsilon' < \epsilon$ .

## Nested Linear Coding Architecture



### Decoding:

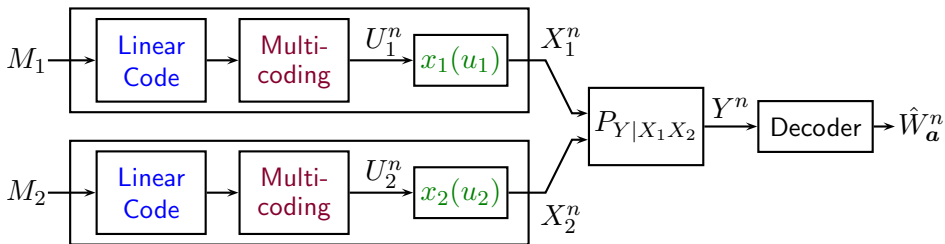
- Let  $\epsilon' < \epsilon$ .
- Search for a unique index  $s_a \in [2^{n \max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\}}]$  such that

$$(u_1^n(m_1, l_1), u_2^n(m_2, l_2), y^n) \in \mathcal{T}_\epsilon^{(n)}(U_1, U_2, Y),$$

for some  $(m_1, l_1, m_2, l_2) \in [2^{nR_1}] \times [2^{n\hat{R}_1}] \times [2^{nR_2}] \times [2^{n\hat{R}_2}]$  such that

$$\nu(s_a) = a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2).$$

## Nested Linear Coding Architecture



### Decoding:

- Let  $\epsilon' < \epsilon$ .
- Search for a unique index  $s_a \in [2^{n \max\{R_1 + \hat{R}_1, R_2 + \hat{R}_2\}}]$  such that

$$(u_1^n(m_1, l_1), u_2^n(m_2, l_2), y^n) \in \mathcal{T}_\epsilon^{(n)}(U_1, U_2, Y),$$

for some  $(m_1, l_1, m_2, l_2) \in [2^{nR_1}] \times [2^{n\hat{R}_1}] \times [2^{nR_2}] \times [2^{n\hat{R}_2}]$  such that

$$\nu(s_a) = a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2).$$

- If there is no such index, or more than one, the decoder declares an error.

## Error Analysis

An error occurs only if one or more of the following events occur,

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

## Error Analysis

An error occurs only if one or more of the following events occur,

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_{\epsilon}^{(n)}\}.$$

## Error Analysis

An error occurs only if one or more of the following events occur,

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_{\epsilon}^{(n)}\}.$$

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_{\epsilon}^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)\}.$$



## Error Analysis

An error occurs only if one or more of the following events occur,

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_{\epsilon}^{(n)}\}.$$

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_{\epsilon}^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \eta(m_1, l_1) \oplus a_2 \eta(m_2, l_2)\}.$$

Then, by the union of events bound,

$$P\{\hat{W}_a^n \neq W_a^n\} \leq P\{\mathcal{E}_1\} + P\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} + P\{\mathcal{E}_3 \cap \mathcal{E}_1^c\}.$$

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_1\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_{\mathbf{q}}) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_1\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- $D(p_{U_k} \| p_{\mathbf{q}}) = \log \mathbf{q} - H(U_k)$ .

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_1\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- $D(p_{U_k} \| p_q) = \log q - H(U_k)$ .
- **Intuition:** Searching for one of  $\approx 2^{nH(U_k)}$  typical sequences out of  $2^{n \log q}$  total sequences. Will succeed w.h.p. if  $2^{n\hat{R}_k} > 2^{n(\log q - H(U_k))}$ .

- For some message, we cannot find a typical **linear codeword**:

$$\mathcal{E}_1 = \{U_k^n(m_k, l_k) \notin \mathcal{T}_{\epsilon'}^{(n)} \text{ for all } l_k, \text{ for some } m_k, k = 1, 2\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_1\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- $D(p_{U_k} \| p_q) = \log q - H(U_k)$ .
- **Intuition:** Searching for one of  $\approx 2^{nH(U_k)}$  typical sequences out of  $2^{n \log q}$  total sequences. Will succeed w.h.p. if  $2^{n\hat{R}_k} > 2^{n(\log q - H(U_k))}$ .
- Proof just requires second moment method.

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .



- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- In a **random i.i.d. coding proof**, we would just use the fact that the codewords are independent and that the channel is memoryless.

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- In a **random i.i.d. coding proof**, we would just use the fact that the codewords are independent and that the channel is memoryless.
- Here, the **linear codewords** can be statistically dependent, since the choices of the auxiliary indices  $L_k$  is coupled due to the **shared nested linear codebook**.

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbf{P}\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- In a **random i.i.d. coding proof**, we would just use the fact that the codewords are independent and that the channel is memoryless.
- Here, the **linear codewords** can be statistically dependent, since the choices of the auxiliary indices  $L_k$  is coupled due to the **shared nested linear codebook**.
- Our proof handles these statistical dependencies by breaking up the possible error events according to the underlying rank of the selected linear codewords. (Markov Lemma for Nested Linear Codes.)

- The channel inputs and output are not **jointly typical**:

$$\mathcal{E}_2 = \{(U_1^n(M_1, L_1), U_2^n(M_2, L_2), Y^n) \notin \mathcal{T}_\epsilon^{(n)}\}.$$

- If  $\hat{R}_k > D(p_{U_k} \| p_q) + \delta(\epsilon)$ , then  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_2 \cap \mathcal{E}_1^c\} = 0$  where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ .
- In a **random i.i.d. coding proof**, we would just use the fact that the codewords are independent and that the channel is memoryless.
- Here, the **linear codewords** can be statistically dependent, since the choices of the auxiliary indices  $L_k$  is coupled due to the **shared nested linear codebook**.
- Our proof handles these statistical dependencies by breaking up the possible error events according to the underlying rank of the selected linear codewords. (Markov Lemma for Nested Linear Codes.)
- Prior work by **Padakandla-Pradhan '13** developed a bound that also requires  $\hat{R}_k < D(p_{U_k} \| p_q) + 3\delta(\epsilon)$ .

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)\}.$$

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)\}.$$

- We upper bound this event in two ways.

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)\}.$$

- We upper bound this event in two ways.
  1. “Direct Decoding” Bound

$$P\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq P\left\{(W_a^n(s_a), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c, s_a \neq S_a\right\}$$

- There are linear codewords that are **jointly typical** with the channel output and give the **wrong linear combination**:

$$\mathcal{E}_3 = \{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } (m_1, l_1, m_2, l_2) \\ \text{such that } \nu(S_a) \neq a_1 \boldsymbol{\eta}(m_1, l_1) \oplus a_2 \boldsymbol{\eta}(m_2, l_2)\}.$$

- We upper bound this event in two ways.
  - “Direct Decoding” Bound

$$P\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq P\{(W_a^n(s_a), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c, s_a \neq S_a\}$$

- “Multiple-Access Decoding” Bound

$$P\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq P\{(U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c \\ \text{for some } (m_1, l_1, m_2, l_2) \neq (M_1, L_1, M_2, L_2)\}$$



## Error Analysis: "Direct Decoding" Bound

$$P\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq P\left\{(W_{\mathbf{a}}^n(s_{\mathbf{a}}), Y^n) \in \mathcal{T}_{\epsilon}^{(n)}, \mathcal{E}_1^c, s_{\mathbf{a}} \neq S_{\mathbf{a}}\right\}$$

$$\mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq \mathbb{P}\left\{(W_{\mathbf{a}}^n(s_{\mathbf{a}}), Y^n) \in \mathcal{T}_{\epsilon}^{(n)}, \mathcal{E}_1^c, s_{\mathbf{a}} \neq S_{\mathbf{a}}\right\}$$

- Can show that  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} = 0$  if

$$R_1 < I_{\text{CF},1}(\mathbf{a}) \triangleq H(U_1) - H(W_{\mathbf{a}}|Y)$$

$$R_2 < I_{\text{CF},2}(\mathbf{a}) \triangleq H(U_2) - H(W_{\mathbf{a}}|Y),$$

which matches our intuition from earlier.

## Error Analysis: "Multiple-Access Decoding" Bound

$$\begin{aligned} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq & \mathbb{P}\left\{ (U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c \right. \\ & \left. \text{for some } (m_1, l_1, m_2, l_2) \neq (M_1, L_1, M_2, L_2) \right\} \end{aligned}$$

## Error Analysis: "Multiple-Access Decoding" Bound

$$\begin{aligned} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq \mathbb{P}\left\{ (U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c \right. \\ \left. \text{for some } (m_1, l_1, m_2, l_2) \neq (M_1, L_1, M_2, L_2) \right\} \end{aligned}$$

- Can show that  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} = 0$  if

$$R_1 < \max_{\mathbf{b} \in \mathbb{A}^2 \setminus \{\mathbf{0}\}} \min\{I_{\text{CF},1}(\mathbf{b}), I(X_1, X_2; Y) - I_{\text{CF},2}(\mathbf{b})\},$$

$$R_2 < I(X_2; Y|X_1),$$

$$R_1 + R_2 < I(X_1, X_2; Y)$$

## Error Analysis: "Multiple-Access Decoding" Bound

$$\mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq \mathbb{P}\left\{ (U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c \right. \\ \left. \text{for some } (m_1, l_1, m_2, l_2) \neq (M_1, L_1, M_2, L_2) \right\}$$

- Can show that  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} = 0$  if

$$R_1 < \max_{\mathbf{b} \in \mathbb{A}^2 \setminus \{\mathbf{0}\}} \min\{I_{\text{CF},1}(\mathbf{b}), I(X_1, X_2; Y) - I_{\text{CF},2}(\mathbf{b})\},$$

$$R_2 < I(X_2; Y|X_1),$$

$$R_1 + R_2 < I(X_1, X_2; Y)$$

OR

$$R_1 < I(X_1; Y|X_2),$$

$$R_2 < \max_{\mathbf{b} \in \mathbb{A}^2 \setminus \{\mathbf{0}\}} \min\{I_{\text{CF},2}(\mathbf{b}), I(X_1, X_2; Y) - I_{\text{CF},1}(\mathbf{b})\},$$

$$R_1 + R_2 < I(X_1, X_2; Y).$$

## Error Analysis: "Multiple-Access Decoding" Bound

$$\mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} \leq \mathbb{P}\left\{ (U_1^n(m_1, l_1), U_2^n(m_2, l_2), Y^n) \in \mathcal{T}_\epsilon^{(n)}, \mathcal{E}_1^c \right. \\ \left. \text{for some } (m_1, l_1, m_2, l_2) \neq (M_1, L_1, M_2, L_2) \right\}$$

- Can show that  $\lim_{n \rightarrow \infty} \mathbb{P}\{\mathcal{E}_3 \cap \mathcal{E}_1^c\} = 0$  if

$$R_1 < \max_{\mathbf{b} \in \mathbb{A}^2 \setminus \{\mathbf{0}\}} \min\{I_{\text{CF},1}(\mathbf{b}), I(X_1, X_2; Y) - I_{\text{CF},2}(\mathbf{b})\},$$

$$R_2 < I(X_2; Y|X_1),$$

$$R_1 + R_2 < I(X_1, X_2; Y)$$

OR

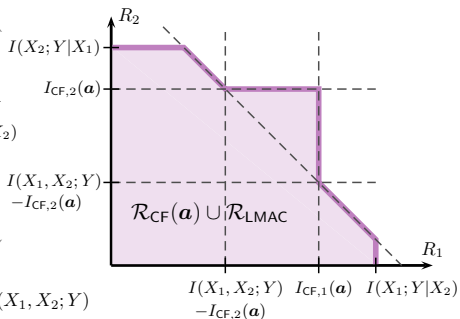
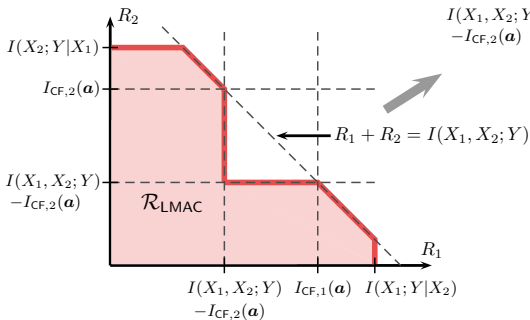
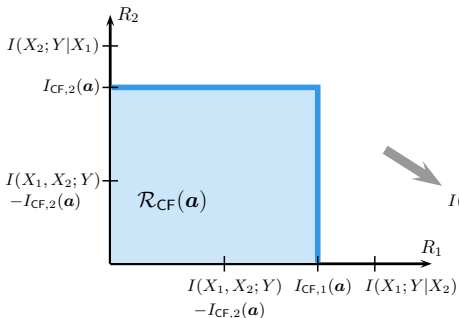
$$R_1 < I(X_1; Y|X_2),$$

$$R_2 < \max_{\mathbf{b} \in \mathbb{A}^2 \setminus \{\mathbf{0}\}} \min\{I_{\text{CF},2}(\mathbf{b}), I(X_1, X_2; Y) - I_{\text{CF},1}(\mathbf{b})\},$$

$$R_1 + R_2 < I(X_1, X_2; Y).$$

- The  $I_{\text{CF},2}(\mathbf{b})$  term plays a key role in handling the dependencies between competing pairs of linear codewords.

# Rate Region



## *Compute-and-Forward over a Gaussian MAC*

- Consider a Gaussian MAC with real-valued channel output

$$Y = h_1 X_1 + h_2 X_2 + Z$$



## Compute-and-Forward over a Gaussian MAC

- Consider a Gaussian MAC with real-valued channel output  
$$Y = h_1 X_1 + h_2 X_2 + Z$$
- Want to recover  $a_1 X_1^n + a_2 X_2^n$  for some integers  $a_1, a_2$ .

## Compute-and-Forward over a Gaussian MAC

- Consider a Gaussian MAC with real-valued channel output  
$$Y = h_1 X_1 + h_2 X_2 + Z$$
- Want to recover  $a_1 X_1^n + a_2 X_2^n$  for some integers  $a_1, a_2$ .
- **Gaussian noise:**  $Z \sim \mathcal{N}(0, 1)$

## Compute-and-Forward over a Gaussian MAC

- Consider a Gaussian MAC with real-valued channel output  
$$Y = h_1 X_1 + h_2 X_2 + Z$$
- Want to recover  $a_1 X_1^n + a_2 X_2^n$  for some integers  $a_1, a_2$ .
- **Gaussian noise:**  $Z \sim \mathcal{N}(0, 1)$
- **Usual power constraint:**  $E[X_k^2] \leq P$

## Compute-and-Forward over a Gaussian MAC

- Consider a Gaussian MAC with real-valued channel output  
$$Y = h_1 X_1 + h_2 X_2 + Z$$
- Want to recover  $a_1 X_1^n + a_2 X_2^n$  for some integers  $a_1, a_2$ .
- **Gaussian noise:**  $Z \sim \mathcal{N}(0, 1)$
- **Usual power constraint:**  $E[X_k^2] \leq P$
- Via a **novel quantization argument**, we can recover the following theorem.

## Compute-and-Forward over a Gaussian MAC

- Consider a Gaussian MAC with real-valued channel output  $Y = h_1X_1 + h_2X_2 + Z$
- Want to recover  $a_1X_1^n + a_2X_2^n$  for some integers  $a_1, a_2$ .
- **Gaussian noise:**  $Z \sim \mathcal{N}(0, 1)$
- **Usual power constraint:**  $E[X_k^2] \leq P$
- Via a **novel quantization argument**, we can recover the following theorem.

### Theorem (Nazer-Gastpar '11)

For any channel vector  $\mathbf{h}$  and integer coefficient vector  $\mathbf{a}$ , any rate tuple satisfying  $R_k < R_{\text{comp}}(\mathbf{h}, \mathbf{a})$  for  $k$  s.t.  $a_k \neq 0$  is achievable where

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}) = \frac{1}{2} \log^+ \left( \frac{P}{\mathbf{a}^\top (P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^\top)^{-1} \mathbf{a}} \right)$$

## *Beyond One Linear Combination*

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.

## *Beyond One Linear Combination*

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.
- For example, **Ordentlich-Erez-Nazer '14** approximates the sum capacity of the symmetric Gaussian interference channel via decoding **two linear combinations**.

## *Beyond One Linear Combination*

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.
- For example, **Ordentlich-Erez-Nazer '14** approximates the sum capacity of the symmetric Gaussian interference channel via decoding **two linear combinations**.
- **Ordentlich-Erez-Nazer '13** improves upon compute-and-forward for **two or more linear combinations** via **successive cancellation**.



## *Beyond One Linear Combination*

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.
- For example, **Ordentlich-Erez-Nazer '14** approximates the sum capacity of the symmetric Gaussian interference channel via decoding **two linear combinations**.
- **Ordentlich-Erez-Nazer '13** improves upon compute-and-forward for **two or more linear combinations** via **successive cancellation**.
- What about **jointly decoding** the **linear combinations**?

## *Beyond One Linear Combination*

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.
- For example, **Ordentlich-Erez-Nazer '14** approximates the sum capacity of the symmetric Gaussian interference channel via decoding **two linear combinations**.
- **Ordentlich-Erez-Nazer '13** improves upon compute-and-forward for **two or more linear combinations** via **successive cancellation**.
- What about **jointly decoding** the **linear combinations**?
- **Ordentlich-Erez '13** derived bounds for lattice-based codes.

## Beyond One Linear Combination

- In some scenarios, it is of interest to decode **two or more linear combinations** at each receiver.
- For example, **Ordentlich-Erez-Nazer '14** approximates the sum capacity of the symmetric Gaussian interference channel via decoding **two linear combinations**.
- **Ordentlich-Erez-Nazer '13** improves upon compute-and-forward for **two or more linear combinations** via **successive cancellation**.
- What about **jointly decoding** the **linear combinations**?
- **Ordentlich-Erez '13** derived bounds for lattice-based codes.
- **This talk:** We can analyze this via **joint typicality decoding** to get an achievable rate region.

## *Jointly Decoding Two Linear Combinations of $K$ Codewords*

- At node  $k \in [1 : K]$ , the message  $M_k$  is encoded using the **nested linear coding architecture**.

## *Jointly Decoding Two Linear Combinations of $K$ Codewords*

- At node  $k \in [1 : K]$ , the message  $M_k$  is encoded using the **nested linear coding architecture**.
- Let  $L_k$  be the chosen index from the **multicoding** step.

## Jointly Decoding Two Linear Combinations of $K$ Codewords

- At node  $k \in [1 : K]$ , the message  $M_k$  is encoded using the **nested linear coding architecture**.
- Let  $L_k$  be the chosen index from the **multicoding** step.
- The objective of the receiver is to compute **two linear combinations of the codewords**,

$$W_{\mathbf{a}_1}^n = \bigoplus_{k=1}^K a_{1,k} U_k^n(M_k, L_k)$$
$$W_{\mathbf{a}_2}^n = \bigoplus_{k=1}^K a_{2,k} u_k^n(M_k, L_k) ,$$

with vanishing probability of error.

## Jointly Decoding Two Linear Combinations of $K$ Codewords

- At node  $k \in [1 : K]$ , the message  $M_k$  is encoded using the **nested linear coding architecture**.
- Let  $L_k$  be the chosen index from the **multicoding** step.
- The objective of the receiver is to compute **two linear combinations of the codewords**,

$$W_{\mathbf{a}_1}^n = \bigoplus_{k=1}^K a_{1,k} U_k^n(M_k, L_k)$$
$$W_{\mathbf{a}_2}^n = \bigoplus_{k=1}^K a_{2,k} u_k^n(M_k, L_k) ,$$

with vanishing probability of error.

- **Key Technical Issue:** **Random linear codewords** are pairwise independent, but not 4-wise independent!

## Jointly Decoding Two Linear Combinations of $K$ Codewords

### Theorem

A rate tuple  $(R_1, \dots, R_K)$  is achievable for computing two linear combinations if

$$R_k < \max_{\mathbf{b} \in \mathbb{F}_q^2 \setminus \{\mathbf{0}\}} \min\{H(U_k) - H(\mathbf{V}_b|Y), H(U_k) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}|Y, \mathbf{V}_b)\}$$

$$R_j < I(W_{\mathbf{a}_2}; Y, W_{\mathbf{a}_1}) - H(W_{\mathbf{a}_2}) + H(U_j)$$

$$R_k + R_j < I(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}; Y) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}) + H(U_k) + H(U_j)$$

or

$$R_k < I(W_{\mathbf{a}_1}; Y, W_{\mathbf{a}_2}) - H(W_{\mathbf{a}_1}) + H(U_k)$$

$$R_j < \max_{\mathbf{b} \in \mathbb{F}_q^2 \setminus \{\mathbf{0}\}} \min\{H(U_j) - H(\mathbf{V}_b|Y), H(U_j) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}|Y, \mathbf{V}_b)\}$$

$$R_k + R_j < I(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}; Y) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}) + H(U_k) + H(U_j)$$

for all  $j, k$  such that  $a_{1,k} \neq 0$ ,  $a_{2,j} \neq 0$  for some input pmf

$\prod_{k=1}^K p(u_k)$ , mappings  $x_k(u_k)$ , and  $\mathbf{V}_b = b_1 W_{\mathbf{a}_1} \oplus b_2 W_{\mathbf{a}_2}$ .



## Jointly Decoding Two Linear Combinations of $K$ Codewords

### Theorem

A rate tuple  $(R_1, \dots, R_K)$  is achievable for computing two linear combinations if

$$R_k < \max_{\mathbf{b} \in \mathbb{F}_q^2 \setminus \{\mathbf{0}\}} \min\{H(U_k) - H(\mathbf{V}_b|Y), H(U_k) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}|Y, \mathbf{V}_b)\}$$

$$R_j < I(W_{\mathbf{a}_2}; Y, W_{\mathbf{a}_1}) - H(W_{\mathbf{a}_2}) + H(U_j)$$

$$R_k + R_j < I(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}; Y) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}) + H(U_k) + H(U_j)$$

or

$$R_k < I(W_{\mathbf{a}_1}; Y, W_{\mathbf{a}_2}) - H(W_{\mathbf{a}_1}) + H(U_k)$$

$$R_j < \max_{\mathbf{b} \in \mathbb{F}_q^2 \setminus \{\mathbf{0}\}} \min\{H(U_j) - H(\mathbf{V}_b|Y), H(U_j) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}|Y, \mathbf{V}_b)\}$$

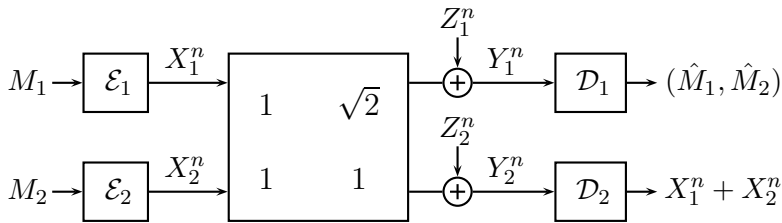
$$R_k + R_j < I(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}; Y) - H(W_{\mathbf{a}_1}, W_{\mathbf{a}_2}) + H(U_k) + H(U_j)$$

for all  $j, k$  such that  $a_{1,k} \neq 0$ ,  $a_{2,j} \neq 0$  for some input pmf

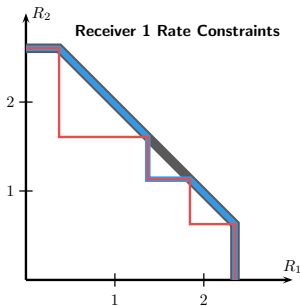
$\prod_{k=1}^K p(u_k)$ , mappings  $x_k(u_k)$ , and  $\mathbf{V}_b = b_1 W_{\mathbf{a}_1} \oplus b_2 W_{\mathbf{a}_2}$ .

- The **auxiliary linear combination  $\mathbf{V}_b$**  plays a key role in classifying **dependent** competing pairs in the error analysis.

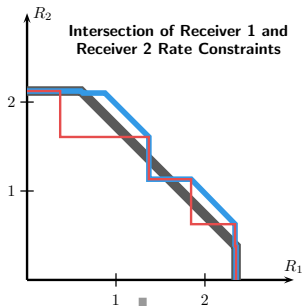
## Case Study: Two-Sender, Two-Receiver Network



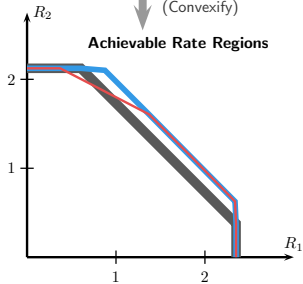
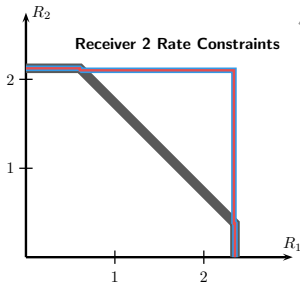
# Case Study: Two-Sender, Two-Receiver Network



Intersect  
Rate Regions



Time-Sharing  
(Convexify)



## Concluding Remarks

- First steps towards bringing algebraic network information theory back into the realm of joint typicality.
- Joint decoding rate region for compute-and-forward that outperforms parallel and successive decoding.