University of York

Statement of Main Terms and Conditions Of Employment For Professorial Staff
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*Professorial Staff Terms and Conditions*  
*July 2013*
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This statement sets out the principal terms and conditions on which you are employed by the University of York and should be read in conjunction with your letter of appointment and any amendments which are notified to you.

1. Place of Work

The location of your appointment is stated in your letter of appointment. However, you may be required to work, if appropriate, at any of the University premises in Heslington or York, or temporarily elsewhere as necessary for the discharge of your duties. The University reserves the right to relocate its employees to any of its premises, or other locations where it provides a service, following appropriate consultation and notification.

You may be required to travel on University business, including outside of the United Kingdom. Unless stated in your letter of appointment, you will not be contractually obliged to work outside the United Kingdom for any period of more than one month.

2. Date of Continuous Employment

Your period of continuous service with the University commenced on the date stated in your letter of appointment.

3. Duties

The job title for your post is as stated in your letter of appointment. This post is within the Academic, Research and Teaching (ART) job group.

You are required to undertake the duties as set out in the Job Description for your post. You may be required from time to time to undertake such other duties as the University may reasonably require.

Members of Academic and Research staff are required to engage in advanced study or research leading to publication (or performance) in a recognised form. Members of Academic and Teaching staff are required to undertake such teaching, examining, administrative or other duties as may reasonably be assigned by the Head of Department.

All Professorial staff can be required, if asked by Senate, to undertake the role of Head of Department for a term of usually 4 years. This term may be extended by agreement.

Following consultation, you may be redeployed to suitable alternative duties, within the University, commensurate with your skills and experience where this is in the interests of the efficiency of the University or in order to avoid redundancy.
4. Statement of responsibilities

During your employment you must:

- carry out your duties diligently and to the best of your ability;
- comply with all lawful and reasonable instructions of the University;
- promote the interests and reputation of the University;
- act in good faith towards the University;
- take all possible care not to damage any of the University's property or equipment

During your employment with the University you shall not be directly or indirectly employed, engaged, concerned or interested in any other business or undertaking without the prior written agreement of your line manager.

You confirm that by taking up employment with the University or performing your duties for the University you will not be in breach of any contract or any other binding obligation.

5. Hours of Work

You are expected to work such hours and days, including weekends and bank holidays and/or closure days, as are required for the proper discharge of your duties. Salary payments will be based on an expected working week of 37 hours. You are required to work such additional hours as may be necessary for the proper performance of your duties. You will not be entitled to any overtime pay.

If you have been appointed on a part-time basis, you will work the hours stated in your offer of appointment; days and times of working will be agreed with your Line Manager. Salary for part-time hours will be pro-rated accordingly.

These hours exclude time taken for breaks and/or lunch.

6. Pay and Grading Structure

Your grade and salary on appointment are as stated in your letter of appointment. Details of the payscales and grades are available from Human Resources or at: - http://www.york.ac.uk/admin/hr/resources/pay_scales/professorial/

Your salary will be referred to as your ‘notional salary’ in accordance with the rules of the University’s ‘Rewards Extra’ Benefits Scheme.

Frequency of Payment

Your annual salary will be paid in UK sterling as 12 monthly payments by direct credit transfer (BACS) to a UK bank account designated by you on the last working day of each calendar month after any necessary deductions for income
tax, national insurance and any other authorised deductions have been made. The pay date may differ in December due to Christmas and New Year holidays.

7. Pension

You are eligible to join the Universities Superannuation Scheme (USS), subject to the rules of the Scheme, which may be amended from time to time. On commencing employment, subject to scheme rules, you will be entered into USS automatically.

If you have previously retired from USS, you will not be able to rejoin. In these circumstances, you will be automatically enrolled into The People’s Pension if you are over 21, under State Pension Age, and earning over the relevant threshold (see [http://www.york.ac.uk/pensions/](http://www.york.ac.uk/pensions/)). If you fall outside these criteria, you may still be able to apply to join (see Appendix 3 for further details). If you are automatically enrolled into either USS or The People’s Pension, you will be sent further information after commencing your employment with the University.

The University reserves the right to alter the University's pension arrangements on giving reasonable notice of such a change.

Pensions Extra (USS)

If you meet the eligibility criteria of Pensions Extra (USS) as defined in the Rewards Extra Scheme Rules (see [http://www.york.ac.uk/rewardsextra/](http://www.york.ac.uk/rewardsextra/)) and unless you choose to opt out of Pensions Extra (USS), your annual salary will be reduced by the members’ contribution rate and an equivalent amount will be paid by the University as an additional employer pension contribution into USS. If your contract is for two years or less you will not be entered into Pensions Extra automatically. A copy of the USS rules is available on USS’ website ([www.uss.co.uk](http://www.uss.co.uk)).

If you are enrolled or apply to join The People’s Pension, Pensions Extra is not available.

Contracted-Out of State Second Pension

USS is contracted out of the State Pension Scheme. This means that you will pay a lower rate of National Insurance contributions, and, instead of building up benefits in the State Pension Scheme, will build up benefits in USS. Your entitlement to the basic State Pension will not be affected by this. You will be contracted out of the State Pension Scheme by reference to the Pension Schemes Act 1993 and you will receive the benefits set out in the USS rules. Further information can be obtained from the Pensions Office, including information about how the end of contracting-out will affect the Scheme.

The People’s Pension is not contracted out of the State Pension Scheme. This means that if you are a member of that scheme, you will pay the full rate of National Insurance contributions, and will build up benefits in the State Pension.
Scheme as well as benefits in The People’s Pension.

The Pensions Office can be contacted by e-mail: pensions@york.ac.uk or telephone: 01904 32 4782 or 01904 32 4805.

8. **Rewards Extra - Salary Exchange Schemes**

The University offers an integrated benefits package called ‘Rewards Extra’ (see the Rewards Extra Brochure enclosed with your Offer of Appointment pack). This contains a range of salary exchange schemes. If you choose and are eligible to participate in any Rewards Extra Salary Exchange Schemes, as defined in the Rewards Extra Scheme Rules as amended from time to time, your annual salary will be reduced in accordance with the terms and conditions of each scheme.

Your Post Salary Exchange Pay is your annual salary less any reductions for your participation in any salary exchange schemes.

9. **Deductions from Pay**

Any deductions instructed by a court order or an order from an employment tribunal, such as an attachment of earnings order, will be deducted from your pay.

If the University is required by the provisions of the Pensions Act 2008 to automatically enrol you into a workplace pension scheme, the amount of employee contributions required by either the legislation or the Scheme Rules (as appropriate) will be deducted from your pay (see [http://www.york.ac.uk/pensions/](http://www.york.ac.uk/pensions/)).

Other deductions will be taken with your signed authorisation, e.g. Union Subscriptions, Car Parking Permit.

It is University policy to also recover the following from pay:

- A deduction in the event of your having been overpaid in a previous pay period.
- A deduction in the event of your taking part in industrial action.
- A deduction of any advances or loans made to you by the University.
- A deduction in lieu of leave that has been overtaken at the point of termination of employment.
- A deduction for recovery of Occupational Maternity Pay in the event of failure to return to work for a minimum 3 month period.
- A deduction for any remaining payments due to the University in respect of any benefits under a Rewards Extra Salary Exchange or Voluntary Benefits Scheme, in accordance with the terms and conditions of that scheme.
This is not an exhaustive list and there may be other deductions that can be taken. Where these sums are due to be taken on termination of your employment and your final pay is not sufficient to allow for the whole deduction to be taken, you will be required to repay the outstanding amount due within one calendar month of your employment termination date unless agreed otherwise.

10. **Holiday Entitlement**

If you work full-time, you are entitled to 38 days paid holiday in any one leave year (see Holiday Year below). This holiday entitlement includes:

- **UK public holidays as follows:**
  - Christmas Day
  - Boxing Day
  - New Year’s Day
  - Good Friday
  - Easter Monday
  - May Day holiday
  - Spring holiday
  - August holiday
- 3 closure days to be taken between Christmas and New Year;
- 27 days to be taken by arrangement with your Head of Department or nominated Line Manager. The University reserves the right, following consultation with Trade Union representatives, to designate one day of this 27 day entitlement as an additional closure day.

If you work part-time or part-year on full-time hours, you will be entitled to holidays on a pro-rata basis.

Full salary is payable during holidays.

Academic, Research and Teaching staff may be required to work on public holidays; equivalent leave in lieu may be taken at another time.

You must not take holiday unless you have obtained the prior approval of your Head of Department or nominated line manager.

**Holiday Year**

The standard holiday leave year is 1 October to 30 September.

If your employment commences part way through a holiday year, your entitlement to holiday (including public holidays) during that year will be calculated on a pro-rata basis according to the number of calendar days left in the holiday year.
With the prior approval of your Head of Department or nominated line manager, you may take all or any part of your statutory holiday entitlement during a period of sick leave.

**Unused Holiday at Leave Year End**

You are expected to take your annual leave in the leave year in which it is accrued. By agreement with your Line Manager you may carry forward up to 5 days leave. Details of the arrangements for carrying forward leave are available at: -

http://www.york.ac.uk/admin/hr/resources/policy/leave_annual_policy.htm

**Holiday not Taken on Termination of Employment**

Employees leaving the University are expected to take any outstanding leave entitlement during their notice period. Where this is not possible due to the constraints of the service, by agreement with the line manager/Head of Department any outstanding holiday will be paid. Entitlement to payment on termination of employment will be for any days leave carried over from the previous leave year in accordance with the requirements above and any leave accrued but untaken up until the date of termination.

If you are dismissed for gross misconduct or you resign without giving appropriate notice in accordance with these terms and conditions such accrued but untaken holiday shall be based on your minimum statutory holiday entitlement.

A deduction will be made from your salary equivalent to any holiday taken in excess of the accrued entitlement. If your final salary payment is insufficient to allow for the whole of any such deduction to be taken, you will be required to repay the outstanding amount due within one calendar month of the termination date of your employment.

Any holiday pay on leaving (whether owed to you or the University) will be based on your notional salary and subject to normal deductions.

The University reserves the right to require you to take all or part of your holiday entitlement during your notice period or on a particular day or days.

11. **Sickness and Sick Pay**

You are required to abide by the University's policies on sickness absence and pay, as amended from time to time.

*Notification requirements*
If you are unable to work because of ill health, you must notify your Head of Department or nominated Line Manager as soon as is practically possible and keep them advised throughout the duration of your absence.

Payment of sickness pay is subject to your complying with the University’s notification and reporting procedures. Failure to comply with the notification requirements (including renewal notification) without good cause may result in the University withholding sick pay for each day for which notification is late and may be treated as a disciplinary matter.

**Sick Pay Allowances**

Subject to the requirements of this section, the University will pay sickness allowances as follows:

<table>
<thead>
<tr>
<th>Period of employment</th>
<th>Full Pay</th>
<th>Half Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Months 1, 2 and 3</td>
<td>2 weeks</td>
<td>2 weeks</td>
</tr>
<tr>
<td>Months 4 to 12</td>
<td>2 months</td>
<td>2 months</td>
</tr>
<tr>
<td>Years 2 and 3</td>
<td>4 months</td>
<td>2 months</td>
</tr>
<tr>
<td>Years 4 and 5</td>
<td>6 months</td>
<td>4 months</td>
</tr>
<tr>
<td>Year 6 onwards</td>
<td>8 months</td>
<td>4 months</td>
</tr>
</tbody>
</table>

Occupational sickness pay will be based on your notional salary. Statutory sickness pay will be calculated in accordance with statutory requirements.

**Medical examination**

It is a condition of your employment that you agree, on request, to undergo medical examination by a suitably qualified medical officer nominated by the University and to authorise the person responsible for such examination to prepare a medical report for disclosure to and discussion with the University.

**Sickness and annual leave**

If you fall ill before commencing a period of pre-booked annual leave you will be regarded as being on sick leave for the period for which you were not well enough to attend work, provided that this period is covered by a medical certificate.

Any sickness which occurs during a period of annual leave will count as annual leave unless supported by a medical certificate (satisfactory to the University) issued at the time of the illness which covers the duration of the illness or injury whilst on holiday.

Further details of the arrangements for sickness leave and payments are available from Human Resources or at: [http://www.york.ac.uk/admin/hr/resources/policy/sickness_pay_leave.htm](http://www.york.ac.uk/admin/hr/resources/policy/sickness_pay_leave.htm)
**Third party injury**

Any payment of sickness allowance is subject to a refund to the University if and to the extent that you recover damages or compensation for loss of earnings from a third party.

12. **Termination of Employment**

Your employment may be terminated by the University by giving you not less than 3 months notice in writing. The University also reserves the right to terminate your employment without notice in cases of serious/gross misconduct.

**Notice Period**

If you wish to terminate your employment with the University, you are required to give a minimum of three calendar months notice in writing to your Head of Department. In addition, members of academic and teaching staff are required to give such notice to include a full academic term.

**‘Garden’ Leave**

There may be circumstances where it is in the interest of the University that, having resigned with notice, or having been given notice to terminate your contract, you will be required not to attend your place of work for all or part of the notice period.

In these circumstances your contract will continue in force until the end of the notice period and you will continue to receive full pay as normal. You will also remain bound by all the obligations and restrictions set out in your contract of employment, with the exception of your duty to attend your place of work. You must, within reason, remain available to be contacted by the University.

Under these circumstances you are not permitted to take up employment elsewhere during the notice period.

**Fixed-Term Contracts**

If you are employed on a fixed-term contract, notice is hereby given that if the appointment has not been terminated by either party before the expiry date stated in your letter of appointment, your employment will come to an end on that date because of the expiry of your fixed-term contract.

13. **Collective Agreements and Trade Union Membership**

The unions that are recognised by the University for collective bargaining for the terms and conditions of employment for staff are UCU, UNISON and UNITE. You have the right to belong to any independent trade union of your choice.
Local collective bargaining and consultation takes place at the Joint Negotiating and Consultative Committee and agreements reached in this forum may directly affect and change your terms and conditions of employment.

Details of these collective agreements are available from Human Resources.

14. Disciplinary Procedure

Your attention is drawn to the disciplinary procedure applicable to your employment, which is available from Human Resources or at: -
http://www.york.ac.uk/admin/hr/resources/policy/disciplinary/

15. Grievance Procedure

If you have a grievance or complaint about your employment, your attention is drawn to the grievance procedure applicable to your employment, which is available from Human Resources or at: -
http://www.york.ac.uk/admin/hr/resources/policy/grievance/

16. Intellectual Property

You are bound by the University regulations relating to intellectual property (Regulation 12 of the University Regulations) as amended from time to time.

Intellectual Property Rights means patents, rights in Inventions, trademarks, logos, get-up, trade names, internet domain names, rights in designs, copyright (including rights in computer software), database rights, rights in confidential information (including know-how and trade secrets), rights in goodwill or to sue for passing off, and other intellectual property rights, whether registered or unregistered and including applications (or rights to apply) for registration, and renewals or extensions of, such rights.

Invention means inventions, ideas, improvements, developments whether or not patentable and whether or not recorded in any medium.

You shall inform the University in writing and with full details of all Inventions and of all work embodying Intellectual Property Rights which may be of commercial value or significance made wholly or partially by you at any time during the course of your employment with the University (whether or not during working hours or using University premises or resources) and which are not wholly unconnected with your employment. Such details must be provided to the University in a timely manner after the creation of the Invention or work embodying Intellectual Property Rights.

You acknowledge that the University may require that all Intellectual Property Rights subsisting (or which may in the future subsist) in all such Inventions and works be assigned to the University. You agree to promptly execute all documents
and do all acts as may, in the opinion of the University, be necessary to give effect to any such assignment.

It is however the policy of the University to encourage such work and to ensure that, if possible, financial rewards be distributed to those responsible for the work. Further details are available at: -
http://www.york.ac.uk/about/organisation/governance/corporate-publications/ordinances-and-regulations/regulation-12/

17. **Consultancy and Work for Outside Bodies**

You are required to abide by the University policy on consultancy and other work for outside bodies available at: -
http://www.york.ac.uk/staff/research/governance/policies/policy-for-work-with-outside-bodies/

18. **Expenses**

The University shall reimburse you in respect of all expenses reasonably incurred by you in the proper performance of your duties, subject to you providing such receipts or other appropriate evidence as the University may require.

19. **University Property**

Any property and any original or copy documents, software or data (however recorded and whether retained electronically or on paper or otherwise) in your possession belonging or relating to the University shall be returned to your Head of Department or nominated line manager at any time on request and in any event on the termination of the employment.

20. **Acceptable Use of IT Equipment and Services**

You are bound by regulations for the use of computing facilities (Regulation 11 of the University Regulations) as amended from time to time. The University reserves the right to monitor the use of its computing facilities. Misuse of computing facilities may constitute a disciplinary offence and be dealt with under the terms of the disciplinary procedure.

Further details are available at: -
http://www.york.ac.uk/about/organisation/governance/corporate-publications/ordinances-and-regulations/regulation-11/

21. **Confidentiality**

You are required to abide by the University’s policies relating to data protection and information security, as amended from time to time. Further details are available at: -
You must not disclose any information of a confidential nature relating to the University (or any of its associated partners) to any third party either during your employment or at any time after the termination of your employment, except in the proper course of your duties or as required by law. Breach of confidentiality may constitute a disciplinary offence and be dealt with under the terms of the disciplinary procedure.

22. Personal Details

You agree to provide the University with all information which is reasonably required in connection with your employment. In addition, you must inform the HR and payroll departments promptly of any change in your personal circumstances.

Subject to appropriate safeguards, you agree to the publication of professional information about you for the purposes of publicity and marketing.

23. Personal Data

In relation to the Data Protection Act 1998, you agree to the processing of personal data by the University and other agencies authorised by the University for the purpose of provision of your rewards package, pension scheme and maintaining records of attendance, health, discipline and grievances such as are necessary for the operation of your contract, monitoring sickness and absence, compliance with any statutory or other legal obligation binding on the University, and for the proper administration of the employment relationship both during and after your employment, in accordance with the University's registration under the Data Protection Act.

Data will also be used in an anonymous format to provide statistics and management information that will enable the University to monitor the effectiveness of its policies and procedures. The University is also required to supply data to external bodies such as the Higher Education Statistical Agency (HESA) in an anonymous format, i.e. without disclosing your identity.

24. Equality and Diversity

You are required to comply with the University’s policies on equality and diversity available at: -
http://www.york.ac.uk/admin/hr/resources/policy/eo_employment.htm

25. Health and Safety

Employees are required to comply with the University's health, safety and welfare policies available at: -
http://www.york.ac.uk/admin/hsas/
26. **Third Party Rights**

The Contracts (Rights of Third Parties) Act 1999 shall not apply to these terms and conditions. No person other than you and the University shall have any rights under this Agreement, and this Agreement shall not be enforceable by any person other than you and the University.

27. **Variation**

Subject to the terms of the Employee Relations Agreement and Structure and without prejudice to any specific powers of variation contained in these terms and conditions, the University reserves the general right to make reasonable changes to these terms and conditions and any other agreed terms and conditions of employment on giving you reasonable notice of any such change including in respect of any changes to the law.

28. **Miscellaneous**

Your employment is subject to the University’s Charter and Statutes, Ordinances and Regulations as amended from time to time, as they apply to the conduct of employees.

These terms and conditions together with any documents incorporated into these terms and conditions sets out the whole agreement between the parties with regard to its subject matter and supersedes any previous terms and conditions (whether verbal or written) made between the parties at any time.